PERSONALITY PREDISPOSITIONS IN CHINESE ADOLESCENTS: THE RELATION BETWEEN SELF-CRITICISM, DEPENDENCY, AND PROSPECTIVE INTERNALIZING SYMPTOMS

JOSEPH R. COHEN AND JAMI F. YOUNG 
Rutgers University

BENJAMIN L. HANKIN 
University of Denver

SHUQIAO YAO AND XIONG ZHAO ZHU 
Second Xiangya Hospital of Central South University

JOHN R.Z. ABELA† 
Rutgers University

The present study examined the prospective relation between two personality predispositions, self-criticism and dependency, and internalizing symptoms. Specifically, it was examined whether self-criticism and dependency predicted symptoms of depression and social anxiety, and if a moderation (e.g., diathesis-stress) or mediation model best explained the relation between the personality predispositions and emotional distress in Chinese adolescents. Participants included 1,150 adolescents (597 females and 553 males) from mainland China. Participants completed self-report measures of self-criticism, dependency, and neuroticism at baseline, and self-report measures of negative events, depressive symptoms, and social anxiety symptoms once a month for six months. Findings showed that self-criticism predicted depressive symptoms, while dependency predicted social anxiety symptoms. In addition, support was found for a mediation model, as op-

†deceased.

Address correspondence to Joseph R. Cohen, Department of Psychology, Rutgers University, Tillett Hall, Livingston Campus, 53 Avenue E, Piscataway, NJ, 08854-8040; E-mail: jocohen@eden.rutgers.edu.

© 2013 Guilford Publications, Inc.
posed to a moderation model, with achievement stressors mediating the relation between self-criticism and depressive symptoms. Overall, these findings highlight new developmental pathways for the development of depression and social anxiety symptoms in mainland Chinese adolescents. Implications for cross-cultural developmental psychopathology research are discussed.

Similar to the United States (Avenevoli, Knight, Kessler, & Merikangas, 2008), adolescence has emerged as a sensitive period for the onset and maintenance of depressive symptoms in mainland China (Tepper et al., 2008). For example, a recent study showed that close to half (44.3%) of adolescents throughout China reported experiencing depressive symptoms within the past week (Sun, Tao, Hao, & Wan, 2010). However, despite this disturbing trend, a paucity of research exists on the underlying factors which may contribute to the development of psychopathology among this population (Bush, 2003). As convincing evidence for the existence of distinct, stable, and global personality characteristics in youth accumulates (Tackett, 2006; Wetter & Hankin, 2009), a promising area of exploration is to examine the effects of personality constructs in the development and maintenance of internalizing symptoms in mainland Chinese adolescents. This research may allow researchers to better understand which Chinese youth are most at-risk for developing emotional distress.

Two personality constructs that have gained increased attention as vulnerabilities to depressive symptoms in youth are dependency and self-criticism (Abela, Sakellaropoulo, & Taxel, 2007; Abela & Taylor, 2003; Adams, Abela, Auerbach, & Skitch, 2009; Shahar, Blatt, Zuroff, Kuperminc, & Leadbeater, 2004). According to Blatt and Zuroff (1992) the two personality predispositions explain why some individuals are more likely than others to develop depression. Specifically, individuals high in self-criticism are preoccupied with achievement goals, and are especially susceptible to depression when they feel they are unable to meet high standards set by themselves and/or others. On the other hand, individuals high in dependency are preoccupied with interpersonal goals, and are at heightened risk for depression following interpersonal conflict, loss, or social rejection. Blatt and Zuroff’s (1992) theory of personality predispositions is similar to interpersonal (Areti & Bemporad, 1980) and cognitive (Beck, 1983) theories of depression which also have interpersonal and achievement personality constructs.
A majority of past research concerning dependency and self-criticism has focused on adult populations (Blaney & Kutcher, 1991; Santor & Patterson, 2004). However, because Blatt and Zuroff’s (1992) theory postulates that self-criticism and dependency develop early in life (Blatt, 1974), a growing body of research has examined the theory in younger populations as well. For instance, Adams and colleagues (2009) found that both dependency and self-criticism represented vulnerabilities to prospective depressive symptoms in at-risk youth. The majority of studies, however, only found support for self-criticism as a vulnerability factor (Abela et al., 2007; Abela & Taylor, 2003; Shahar et al., 2004) suggesting that this personality predisposition may be especially problematic during adolescence. This is consistent with Nietzel and Harris’s (1990) meta-analysis on the personality predispositions which also suggested that self-criticism represented a unique vulnerability to depression.

Despite an extensive corpus of research in North American populations, Blatt and Zuroff’s (1992) theory has received little attention in Southeast Asia. Because past research suggests that self-critical processes may not be as deleterious in a Southeast Asian cultural group (Heine, Lehman, Markus, & Kitayama, 1999) and interdependence (feeling communion and affiliation; Markus & Kitayama, 1998) is a key feature of these cultural groups, there is reason to believe that findings concerning self-criticism and dependency may differ in this part of the world. To date, one study has examined these personality predispositions in a Southeast Asian sample. In a University sample in mainland China, Yao, Fang, Zhu, and Zuroff (2008) tested the presence of self-criticism and dependency and its association with depressive symptoms. Compared to a North American sample, similar levels of the personality predispositions were found and both correlated with depressive scores six months later.

The present study built upon this initial investigation (Yao et al., 2008) and examined the longitudinal association between the personality predispositions and internalizing symptoms in Chinese adolescents. We also extended the findings of Yao and colleagues (2008) by incorporating several suggestions and limitations noted in prior reviews of the personality predispositions literature (e.g., Coyne & Whiffen, 1995; Zuroff, Mongrain, & Santor, 2004). For instance, because of the moderate levels of correlation between self-criticism and dependency, both personality predispositions were assessed and examined simultaneously in the present study. In addition, as some have questioned whether the influence of self-criti-
cism and dependency can simply be explained by neuroticism (Oui-
mette & Klein, 1993), we accounted for neuroticism in our study
design and analyses to demonstrate etiological specificity.

The present study’s use of a short-term prospective design, also
allowed us to extend findings on the personality predispositions
by testing competing hypotheses for how self-criticism and depen-
dency may lead to emotional distress. Recent research emphasized
the need for developmental psychopathologists to test both mod-
eration and mediation models to better understand the mecha-
nisms through which negative events exert an influence (Eberhart,
Auerbach, Bigda-Peytwon, & Abela, 2011). The majority of past
dependency and self-criticism studies examined a diathesis-stress
(moderation) model in which dependency/self-criticism either in-
teracted with a specific stressor (the specific vulnerability hypo-
thesis; Abela & Taylor, 2003; Hammen & Goodman-Brown, 1990) or
general negative events (the general vulnerability hypothesis; Abe-
la, Webb, Ho, Wagner, & Adams, 2006; Adams et al., 2009; Shahar et
al., 2004) to predict depressive symptoms in North American ado-
lescent samples. Meanwhile, a smaller body of research has found
that a mediation model may best explain the relation between the
personality predispositions and emotional distress (Priel & Shahar,
2000; Shahar et al., 2004). Thus, as support has been found for both
of these developmental models, it is important to test which one
best explains the relation between the personality predispositions
and emotional distress in Chinese adolescents.

Finally, the present study also sought to prospectively examine
associations among self-criticism, dependency, and social anxiety
symptoms. Past research has suggested that the personality predis-
positions, specifically self-criticism, may not be a unique predictor
of depression alone. For instance, two cross-sectional examinations
found elevated levels of self-criticism, but not dependency, in pa-
tients with social anxiety (Cox, Fleet, & Stein, 2004; Cox et al., 2000),
and a third treatment study found that decreases in self-criticism
were related to the attenuation of symptoms of social anxiety (Cox,
Walker, Enns, & Karpinski, 2002). Thus, it seems that self-criticism
may be implicated in the onset and maintenance of social anxiety
symptoms as well as depression in North American samples.

However, past research has suggested that social anxiety may
have different risk factors in Southeast Asian cultural groups com-
pared to North American/Western European cultural groups. For
instance, past research has suggested that Taijin Kyofusho may rep-
resent a “culture bound” form of social anxiety which is unique to Asian cultural groups where individuals have fears over offending others within their social networks (Kirmayer, 1991; Nakamura, Kitanishi, Miyake, Hashimoto, & Kubota, 2002). This is different from other cultural groups where the development of social anxiety is believed to be rooted in fears of outing oneself as a failure (Clark & Wells, 1995). Because of these culturally distinct cognitions, different risk factors may exist for the development of social anxiety.

The present research investigated how self-criticism and dependency related to internalizing symptoms in mainland Chinese adolescents. We hypothesized that consistent with North American adolescents (Abela et al., 2007; Abela & Taylor, 2003; Shahar et al., 2004) self-criticism would emerge as a vulnerability factor for depressive symptoms in the present study. On the contrary, dependency, as opposed to self-criticism, was predicted to be associated with prospective social anxiety symptoms in Chinese adolescents because in Asian cultural groups the disorder is associated with fears of letting others down (Nakamura et al., 2002).

METHODS

PARTICIPANTS

The participants in the current study were 558 adolescents (310 females and 248 males) from an urban school in Changsha, and 592 adolescents (287 females and 305 males) from a rural school in Liuyang, both in Hunan province located in mainland China. These cities were chosen as previous research found differences regarding internalizing symptoms between urban and rural sites in mainland China (Fan, Zhang, Yang, Mo, & Liu, 2011; Yip, Callanan, & Yuen, 2000). The final sample consisted of 1,150 adolescents ranging in age from 14 to 19 ($M = 16.26$) years. Lastly, the sample was 99.2% Han, the predominant ethnic group in China, and 0.8% ethnic minority. Schools were compensated for their participation.

PROCEDURE

The present study was part of a larger multi-site research project conducted in mainland China (see Abela et al., 2011; Cohen et al.,
2012, for further details concerning other assessments used in the study). Written consent was obtained from parents and adolescents prior to the start of the study. After consent forms were collected, researchers went to each school to meet with students. During the initial assessment, students completed the following questionnaires: (1) the Center for Epidemiological Studies Depression Scale (CES-D; Radloff, 1977), (2) the Multidimensional Anxiety Scale for Children (March, 1997), (3) the Adolescent Life Event Questionnaire (ALEQ; Hankin & Abramson, 2002), (4) the Depressive Experiences Questionnaire for Adolescents (DEQ-A; Fichman, Koestner, & Zuroff, 1994), and (5) the Five Factor Inventory-Neuroticism Subscale (FFI-N; Costa & McCrae, 1992). The second phase of the study consisted of 6 follow-up assessments, occurring every month for the subsequent 6 months. At each follow-up, a research assistant returned to the school and administered the CES-D, MASC, and ALEQ to the adolescent. Following the adolescents’ participation in the study, the participants were fully debriefed, but no compensation was given.

MEASURES

The Chinese version of measures were developed using the back-translation method (Brislin, 1986; Pena, 2007). First, the original English versions were translated into Chinese by a bilingual translator from Second Xiangya Hospital. Afterwards, the Chinese version was back-translated into English by another bilingual translator. When inconsistencies emerged in the back-translation, translators worked to make the appropriate corrections to the final Chinese versions. No items were removed or significantly altered during translation. Finally, all measures have been utilized in past studies which took place in China (Auerbach, Abela, Zhu, & Yao, 2007; Yang, Soong, Kuo, Chang, & Chen, 2004; Yao et al., 2008).

Center for Epidemiological Studies Depression Scale. The Center for Epidemiological Studies Depression Scale (CES-D; Radloff, 1977) is a 20-item measure designed to assess depressive symptoms in the general population. Each item consists of a symptom. Participants indicate on a scale of 0 (rarely) to 3 (most of the time) how often they experienced each symptom in the past week. Total scores range from 0–60, with higher scores indicating higher levels of depressive
symptoms. Example items from the CES-D are “I felt sad” and “I was bothered by things that don’t usually bother me.” The Chinese version of the CES-D exhibits a high degree of reliability and validity (Yang et al., 2004). In the current study, the Cronbach alphas at each time point ranged between 0.91 and 0.95, demonstrating a high level of internal reliability.

*The Multidimensional Anxiety Scale for Children-Social Anxiety Subscale.* The Multidimensional Anxiety Scale for Children-Social Anxiety Subscale (MASC-SA; March, 1997) is a 39-item scale that assesses a broad range of anxious symptoms. Participants rate on a four-point Likert scale ranging from 0 (never applies to me) to 3 (often applies to me) how much a statement applies to them. For the present study, only the social anxiety subscale was included (MASC-SA; 9 items). An example item from this subscale includes “I’m afraid other people will make fun of me.” Possible scores range between 0 and 27 on this subscale. Past research demonstrated high levels of reliability and validity with the Chinese version of the social anxiety subscale of the MASC (Yao et al., 2007). For the present study Cronbach alphas for baseline and follow-up assessments ranged between 0.82 and 0.91.

*Adolescent Life Event Questionnaire.* The Adolescent Life Event Questionnaire (ALEQ; Hankin & Abramson, 2002) is a 57-item measure which was designed to assess a broad range of negative events which may occur in an adolescent’s life. Examples of such stressors include school/achievement problems, friendship and romantic difficulties, and family problems. Participants were asked to indicate on a Likert scale ranging from A (Never) to E (Always) whether these events had occurred to them over the past 3 months. Example items from the ALEQ are “I got a bad report card” and “I had an argument with a close friend.” Past research has found the ALEQ to be a reliable and valid measure when used with Chinese adolescents (Auerbach et al., 2007; Yao et al., 2008). In order to test the specific-stress vulnerability hypothesis (Blatt & Zuroff, 1992) the measure was split into achievement and interpersonal subscales (Hankin, Stone, & Wright, 2010). In total, there were 39 interpersonal items and 9 achievement items.

*Five Factor Inventory–Neuroticism Subscale.* The Five Factor Inventory–Neuroticism Subscale (FFI-N; Costa & McCrae, 1992) is a self-report measure that assesses neuroticism by rating each of the 12 items on a scale of 0–4. Total scores on this subscale range from 0 to
48 with higher scores reflecting higher levels of neuroticism. An example item from this scale is “I often feel helpless and want others to solve my problems.” The present study found moderate internal reliability for the measure ($\alpha = .62$). Past research supports that the FFI-N is reliable across different cultural samples and item pools (Costa & McCrae, 1992), including mainland China (Auerbach et al., 2007).

**Depressive Experiences Questionnaire for Adolescents.** The Depressive Experiences Questionnaire for Adolescents (DEQ-A; Fichman et al., 1994) is a 20-item self-report questionnaire that taps into a broad array of beliefs about the self and others. The measure consists of selected items from the original 66-item DEQ measure (Blatt, D’Afflitti, & Quinlan, 1976) and worded for adolescents. The measure consists of both dependency and self-criticism subscales. An item from the dependency subscale is, “Often I feel I have disappointed others.” Meanwhile, an example item from the self-criticism subscale is “I set goals at a very high level.” Each item is rated on a Likert scale from 1 (strongly disagree) to 7 (strongly agree). Total scores on the measure range from 20 to 140, with higher scores representing higher levels of the personality predisposition. Past research has demonstrated that the DEQ-A has strong reliability and validity (Fichman et al., 1994). Although the shortened adolescent version has not previously been used in a Chinese sample, the full version has demonstrated similar reliability and validity to North American samples for the self-criticism and dependency subscales (Yao et al., 2008). The present study found moderate internal consistency for the self-criticism ($\alpha = 0.66$) and dependency subscales ($\alpha = 0.63$). These coefficient alphas are similar to what other studies have found among North American adolescent populations (Adams et al., 2009; Fichman et al., 1994).

**DESCRIPTION OF DATA ANALYSIS**

To test our hypotheses concerning personality predispositions, negative events, and internalizing symptoms we used multilevel modeling. Specifically, we used SAS (version 9.1) MIXED procedure and maximum likelihood with within-subject elevations in depressive symptoms (Depression; scores on the CES-D) and social anxiety symptoms (Social Anxiety; scores on the MASC-SA) dur-
ing the follow-up interval representing the dependent variable, and Dependency (scores on the DEQ-DEP), Self-Criticism (scores on the DEQ-SC), and negative events during the follow-up (scores on the ALEQ) as the predictor variables. For the general stress hypotheses, the negative event variable was comprised of all items on the ALEQ (General NE), while for the specific stress hypotheses the negative event construct was represented by two variables: interpersonal negative events (Interpersonal NE) and achievement negative events (Achievement NE). As Dependency and Self-Criticism were between-subject predictors, these scores were standardized prior to analyses to increase the interpretability of various parameters in our models (Muller, Judd, & Yzerbyt, 2005). However, because NE is a within-subject predictor, these scores were centered at each participant’s mean before analyses. Therefore, NE reflects increases or decreases in one’s own average level of negative events. In addition, all analyses included Neuroticism (scores on the FFIN) to examine whether findings were unique to Self-criticism and/or Dependency.1 Finally, all analyses were run in a time-lagged fashion, such that we accounted for symptoms at time \( n-1 \) when predicting symptoms at time \( n \). Likewise, for all mediation and moderation hypotheses we tested whether negative events at time \( n-1 \) predicted prospective changes in symptoms from time \( n-1 \) to time \( n \) across each successive wave of the multi-wave follow-up.

Because of the high rates of comorbidity between internalizing symptoms (Angold, Costello, & Erklin, 1999), it is important to account for anxiety symptoms when utilizing depressive symptoms as an outcome, and depressive symptoms when using anxiety symptoms as an outcome. At the same time, automatically controlling for comorbid symptoms may lead to misleading findings because the constructs are so highly related (Miller & Chapman, 2001). Therefore, models for depressive and anxiety symptoms were initially tested independently, and if significant, analyses were conducted that included the comorbid symptoms. As we believe controlling

1. Furthermore, the authors tested whether there was etiological specificity for dependency and self-criticism compared to other vulnerabilities for emotional distress which have previously been examined in this sample. Specifically, hopelessness and dysfunctional attitudes (Abela et al., 2011) and negative attachment cognitions (Cohen et al., 2012) were included in separate, supplementary analyses with self-criticism and dependency to provide a more rigorous test of our hypotheses. Of importance, a similar pattern of findings for both self-criticism and dependency reported below emerged when including these additional constructs. Please contact the first author for specific details of these analyses.
for comorbid symptoms is the more stringent of the two models, all statistics reported in the manuscript accounted for comorbid symptoms.

Consistent with a model for analysis proposed by Molenberghs and Verbeke (2005), analyses included a random effect for intercept to account for individual variability in the average level of stress, and a random effect for slope to account for individual variability in the amount of symptoms one experiences in the presence of negative events. In addition, because of the large sample used for the present study, only findings with a $p$ value equal to or less than .01 were considered significant.

RESULTS

DESCRIPTIVE STATISTICS

Means and standard deviations for all Time 1 measures are presented in Table 1, while intercorrelations between these measures are reported in Table 2. Additionally, means and standard deviations for the CES-D, MASC-SA, and ALEQ from the six follow-up assessments are presented in Table 3. Preliminary regression analyses found that girls experience more depressive symptoms, $t(1148) = -2.89, p < .01$, and social anxiety symptoms, $t(1148) = -7.10, p < .01$, compared to boys. Meanwhile, regression analyses showed that ur-
ban youth experienced more depressive symptoms, \( t(1148) = -3.45, p < .01 \), and social anxiety symptoms, \( t(1148) = 6.20, p < .01 \), compared to rural youth. Therefore, both sex and site were entered as covariates for all analyses. Interactions between sex and site were also tested, but no significant findings emerged with regard to the personality predispositions and emotional distress.

It was next examined if data were missing at random to justify the use of data imputation methods for estimating missing values (Schafer & Graham, 2002). For the present study, 73.8% participants were present at Time 1 and all six follow-ups, while less than 10% (9.2%) of the sample missed more than one follow-up. Little’s missing completely at random (MCAR) test, for which the null hypothesis is that the data are MCAR (Little & Rubin, 1987) was not significant \( \chi^2(15,239) = 956.07, p = ns \). Thus, maximum likelihood estimates of missing data were created and used in all subsequent analyses (see Schafer & Graham, 2002 for further explanation).²

² Analyses were also run without data imputation and the pattern of results remained identical.
## Table 3. Means and Standard Deviations for All Follow-Up Measures

<table>
<thead>
<tr>
<th>Measures</th>
<th>Mean</th>
<th>SD</th>
<th>N</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CES-D</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FU1</td>
<td>13.18</td>
<td>9.31</td>
<td>1129</td>
</tr>
<tr>
<td>FU2</td>
<td>12.83</td>
<td>9.59</td>
<td>1103</td>
</tr>
<tr>
<td>FU3</td>
<td>12.45</td>
<td>9.77</td>
<td>1097</td>
</tr>
<tr>
<td>FU4</td>
<td>12.13</td>
<td>10.12</td>
<td>1043</td>
</tr>
<tr>
<td>FU5</td>
<td>11.81</td>
<td>9.56</td>
<td>1059</td>
</tr>
<tr>
<td>FU6</td>
<td>12.24</td>
<td>10.47</td>
<td>1031</td>
</tr>
<tr>
<td><strong>MASC-SA</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FU1</td>
<td>13.10</td>
<td>5.48</td>
<td>1112</td>
</tr>
<tr>
<td>FU2</td>
<td>12.48</td>
<td>5.91</td>
<td>1102</td>
</tr>
<tr>
<td>FU3</td>
<td>11.73</td>
<td>6.11</td>
<td>1100</td>
</tr>
<tr>
<td>FU4</td>
<td>11.00</td>
<td>6.44</td>
<td>1045</td>
</tr>
<tr>
<td>FU5</td>
<td>11.30</td>
<td>6.43</td>
<td>1064</td>
</tr>
<tr>
<td>FU6</td>
<td>10.68</td>
<td>6.67</td>
<td>1036</td>
</tr>
<tr>
<td><strong>General NE</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FU1</td>
<td>105.00</td>
<td>23.73</td>
<td>1130</td>
</tr>
<tr>
<td>FU2</td>
<td>99.78</td>
<td>25.13</td>
<td>1101</td>
</tr>
<tr>
<td>FU3</td>
<td>95.26</td>
<td>26.26</td>
<td>1096</td>
</tr>
<tr>
<td>FU4</td>
<td>92.22</td>
<td>26.18</td>
<td>1043</td>
</tr>
<tr>
<td>FU5</td>
<td>90.79</td>
<td>25.34</td>
<td>1059</td>
</tr>
<tr>
<td>FU6</td>
<td>89.79</td>
<td>25.73</td>
<td>1034</td>
</tr>
<tr>
<td><strong>Interpersonal NE</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FU1</td>
<td>65.78</td>
<td>15.34</td>
<td>1130</td>
</tr>
<tr>
<td>FU2</td>
<td>62.32</td>
<td>16.16</td>
<td>1101</td>
</tr>
<tr>
<td>FU3</td>
<td>59.58</td>
<td>16.71</td>
<td>1096</td>
</tr>
<tr>
<td>FU4</td>
<td>57.46</td>
<td>16.05</td>
<td>1043</td>
</tr>
<tr>
<td>FU5</td>
<td>57.03</td>
<td>15.85</td>
<td>1058</td>
</tr>
<tr>
<td>FU6</td>
<td>56.59</td>
<td>16.14</td>
<td>1034</td>
</tr>
<tr>
<td><strong>Achievement NE</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FU1</td>
<td>22.31</td>
<td>5.90</td>
<td>1130</td>
</tr>
<tr>
<td>FU2</td>
<td>21.64</td>
<td>6.53</td>
<td>1101</td>
</tr>
<tr>
<td>FU3</td>
<td>20.77</td>
<td>6.88</td>
<td>1093</td>
</tr>
<tr>
<td>FU4</td>
<td>20.03</td>
<td>7.36</td>
<td>1042</td>
</tr>
<tr>
<td>FU5</td>
<td>19.34</td>
<td>6.92</td>
<td>1059</td>
</tr>
<tr>
<td>FU6</td>
<td>19.22</td>
<td>7.26</td>
<td>1033</td>
</tr>
</tbody>
</table>

*Note. CES-D = Follow-up interval scores on the Center for Epidemiological Studies Depression Scale; MASC-SA = Follow-up interval scores on the MASC, social anxiety subscale; General NE = Follow-up interval scores on the Adolescent Life Events Questionnaire (ALEQ), total score; Interpersonal NE = Follow-up interval scores on the ALEQ, interpersonal subscale; Achievement NE = Follow-up interval scores on the ALEQ, achievement subscale.*
MODERATION HYPOTHESIS

For the general and specific stress hypotheses, two-way interactions (Self-Criticism × General NE and Dependency × General NE) were included in the model described above. Similarly, for the specific stress hypothesis, the following interaction terms were added to the model: Self-Criticism × Achievement NE, Dependency × Interpersonal NE. With regard to depressive symptoms, results demonstrated that neither the general-stress, $\beta = 0.01; SE = 0.01; t(5745) = 1.14, ns$ for Self-Criticism; $\beta = 0.01; SE = 0.01; t(5745) = 1.07, ns$ for Dependency, or specific-stress hypotheses, $\beta = 0.01; SE = 0.01; t(5744) = 1.06, ns$ for Self-Criticism; $\beta = 0.01; SE = 0.01; t(5744) = 0.66, ns$ for Dependency, predicted prospective elevations of depressive symptoms. However, significant findings were found for Self-Criticism as a main effect, $\beta = 0.06; SE = 0.02; t(1144) = 3.20, p < .01$, but not Dependency, $\beta = 0.00; SE = 0.01; t(1144) = 0.14, ns$. Next, models concerning Social Anxiety were tested. Similar to Depression no significant findings regarding the general stress hypothesis, $\beta = 0.00; SE = 0.01; t(5745) = 0.93, ns$ for Self-Criticism; $\beta = -0.01; SE = 0.01; t(5745) = -1.95, ns$ for Dependency, or the specific stress hypothesis, $\beta = -0.01; SE = 0.01; t(5744) = -2.12, ns$ for Self-Criticism; $\beta = -0.01; SE = 0.01; t(5744) = -1.51, ns$ for Dependency, were found for Social Anxiety. However, a main effect for Dependency, $\beta = 0.03; SE = 0.01; t(1144) = 3.50, p < .01$, but not Self-Criticism, $\beta = 0.01; SE = 0.01; t(1144) = 0.93, ns$, for predicting Social Anxiety was found.\(^3\)

MEDIATION HYPOTHESIS

The present study utilized a nonparametric bootstrapping method with 5,000 resamples to derive the 99% confidence interval to test for the mediating effect of negative events. This approach is believed to be statistically superior to more traditional approaches (i.e., causal step approaches) which have been used in the social sciences to test mediation hypotheses (see Preacher & Hayes, 2008; Zhao, Lynch, & Chen, 2010 for explanation). For all mediation analyses we used the SAS Macro developed by Preacher and Hayes (2008) which allowed

\(^3\) It should be noted, that the analyses were rerun with the elimination of the nonsignificant interaction terms and the pattern of results once again remained identical.
us to control for gender, site, comorbid symptoms, symptoms at time \( n-1 \), Neuroticism, and Dependency when examining the role of Self-Criticism and Self-Criticism when examining the effect of Dependency.

As only Self-Criticism was found to predict Depression, and Dependency was only significant in predicting Social Anxiety, we only tested these two models. With regard to Self-Criticism, we found that the true estimate of the indirect effect for General NE (-0.01 to 0.04) and Interpersonal NE (-0.03 to 0.02) included 0, suggesting that these two variables were not significant mediators. However, we found that the true indirect effect of Achievement NE ranged between 0.01 to 0.06, indicating that Achievement NE mediated the relation between Self-Criticism and Depression. Meanwhile, for the relation between Dependency and Social Anxiety, we found that the true indirect estimates for General NE (0.00 to 0.00), Interpersonal NE (0.00 to 0.00), and Achievement NE (0.00 to 0.00) all included 0. Thus, neither specific nor general negative events mediated the relation between Dependency and Social Anxiety.\(^4\)

**DISCUSSION**

Based on our findings, self-criticism and dependency each play an important role in understanding vulnerability to internalizing symptoms in Chinese adolescents. High levels of each personality predisposition specifically predicted prospective increases of particular forms of internalizing symptoms and in the case of self-criticism, did so through the mediating role of specific stressors. In particular, self-criticism predicted depressive, but not social anxiety symptoms, and this association was mediated through increases in achievement stressors. Furthermore, dependency predicted social anxiety, but not depressive, symptoms. Of note, results were consistent with past research which showed that the personality predispositions exerted an influence on emotional distress above and beyond neuroticism (Bareket-Bojmel & Shahar, 2011). Taken together, these findings highlight specific pathways to the development of

---

\(^4\) Findings concerning Achievement NE mediating the relation between Self-Criticism and Depression were consistent when tested with a traditional, casual-steps approach and an alternative bootstrapping approach developed by Bauer, Preacher, and Gil (2006), which clusters the Level 1 data, but was only able to converge on a simpler model with fewer covariates.
internalizing symptoms in Chinese adolescents. We discuss each of these findings as well as implications for cross-cultural developmental psychopathology research and translational work.

With regard to self-criticism, the present study is consistent with previous cross-sectional research in mainland China (Yao et al., 2008) and longitudinal research with North American adolescent samples (Abela et al., 2006; Adams et al., 2009; Shahar et al., 2004) by finding that self-criticism predicted prospective depressive symptoms. Also consistent with past longitudinal research (Abela et al., 2006; Shahar et al., 2004), the present study found no relation between dependency and prospective depressive symptoms. This lends support to the theory that dependency is only deleterious for individuals with at-risk social support systems (i.e., depressed mothers; Adams et al., 2009). Overall, the consistency between these findings adds to the growing body of research which demonstrates similar vulnerabilities for depression between American and Chinese adolescent populations (Abela et al., 2011; Auerbach et al., 2007; Hong et al., 2010; Starrs, Abela, Cohen, Yao, Zhu, 2010). In light of these findings, future research may want to investigate if past postulations that self-critical processes may not be as harmful in Southeast Asia are specific to Japanese culture or unique forms of self-criticism (Heine et al., 1999).

Although the present study demonstrated similar findings concerning self-criticism and depression, the reason self-criticism leads to depressive symptoms may be culturally distinct. Past research postulated that the interaction between self-criticism and achievement negative events produced strained interpersonal relations which contribute to the development and maintenance of mood disturbances (Aubé & Whiffen, 1996; Fichman et al., 1994; Shahar & Priel, 2003; Shahar et al., 2004). For instance, a self-critical child may feel especially irritated after a bad grade, and may be more vigilant in responding to his or her parents about the grade. Within this example, we would (1) find a significant interaction between achievement negative events and self-criticism, and (2) that interpersonal negative events mediate the relation between the interaction and symptoms. However, in mainland China, we found neither of these statistical tests to be significant. Instead, we found that achievement stressors mediated the relation between self-criticism and prospective depressive symptoms. One possible reason for the different findings is that self-critical adolescents in China may be less likely to engage in conflict with parents following a negative event. In
Southeast Asian cultural groups there is believed to be a greater emphasis on maintaining harmony within relationships, compared to North American and Western European cultural groups (Markus & Lin, 1999). As a result, past research has found conflict between parents and adolescents to be more muted and ephemeral in Southeast Asian cultural groups (Rothbaum, Pott, Azuma, Miyake, & Weisz, 2000), even when discussing academic stressors (Yau & Smetana, 2003). Therefore, academic stressors may be less likely to spill-over to family conflict in mainland China compared to North American or Western European cultural groups. Instead, in mainland China, self critical individuals seem to generate academic stressors, which eventually lead to depressive symptoms.

The present study was the first to demonstrate significant findings concerning the personality predispositions and prospective social anxiety symptoms. The finding that dependency predicted social anxiety symptoms is consistent with past postulations by Mongrain and Zuroff (1994) and with research that demonstrated that cognitive correlates of dependency play an important role in the development of social anxiety (Bornstein, 1995; Darcey, Davila, & Beck, 2005; Overholser, 1997). Findings concerning social anxiety in Chinese adolescents are important, as few prospective studies have examined the relationship between different personality types and anxiety in Asian samples (Matsudaira & Kitamura, 2006). On the other hand, our finding that self-criticism did not relate to prospective social anxiety symptoms was contrary to past findings in North American samples concerning the personality predisposition (Cox et al., 2000; Cox et al., 2002; Cox et al., 2004).

The present study’s findings suggest that unique developmental pathways for social anxiety may exist between cultures. Specifically, in North American and Western European cultural groups the ability to influence one’s environment and achievement are vital to a positive self-view (Markus & Kitayama, 2004; Markus, Uchisa, Omoregie, Townsend, & Kitayama, 2006). Consequently, individuals may feel anxious in a social setting because they may feel that they will be exposed as a failure (Clark & Wells, 1995). Alternatively, past research has proposed that social anxiety may exist in more of an interpersonal context, and relate to more interpersonal cognitions in mainland China (Kirmayer, 1991; Nakamura et al., 2002). The present research was consistent with the theoretical tenants of Taijin Kyofusho, and with prior research involving Asian cultural groups which demonstrated that social anxiety was associated
with interpersonal, but not achievement, related factors (Hong & Woody, 2007). Future research should continue to examine whether achievement related vulnerabilities, such as self-criticism, which have found to predict emotional distress broadly in North America (Cox et al., 2004; Shahar et al., 2004), are only associated with depressive symptoms in mainland China.

The present study had many strengths including addressing past criticisms concerning research on self-criticism and dependency (Coyne & Whiffen, 1995), and utilizing a sample from mainland China, as opposed to smaller Chinese territories like Taiwan or Hong Kong which have been shown to have important psychosocial differences (Berndt, Cheung, Lau, Hau, & Lew, 1993). However, despite these strengths, the present study contains limitations. First, self-report measures were used to assess internalizing symptoms. Although both the MASC and CDI possess high levels of reliability and validity, clinical implications when using self-reported measures must be tempered (Ingram & Siegle, 2002). Second, self-report measures were used to assess negative events. Past research suggested that a contextual interview is needed to understand the impact and quality of these events (Hammen, 2005). Third, the present study utilized a theory of personality developed within an etic theoretical context. Although it is believed to be appropriate because it includes a measure related to interdependence, future research should examine how Blatt and Zuroff’s (1992) model of personality predispositions relate to emic theories (e.g., Cheung et al., 2001) of personality developed in mainland China. Finally, a community sample was used in the present sample. Although this approach carries many advantages (Willett, Singer, & Martin, 1998), it does limit our ability to make clinical inferences.

In sum, the present study sheds light on important risk factors related to internalizing symptoms in mainland China. As rates of internalizing disorders in younger populations escalate in this part of the world (Lee et al., 2009), a greater focus on developmental factors for psychopathology is needed. The present study adds to a growing body of prospective research which has identified important cognitive (Abela et al., 2011; Hong et al., 2010) and interpersonal vulnerabilities (Greenberger, Chen, Talley, & Dong, 2000; Yang et al., 2010) to emotional distress in Chinese youth. With this knowledge, targeted prevention programs, which have been found to be more effective than universal programs (Garber, 2006), can begin to be developed specifically for mainland Chinese youth. To date, few
of these prevention programs exist for Chinese adolescents (Bush, 2003; see Yu & Seligman, 2002 as an example of such a program). Findings from the present study suggest that self-criticism and dependency may be important risk factors to examine in the development of these programs and for targeting at-risk youth.

REFERENCES


