DATE: May 29, 2012

TO: Faculty and Staff

FROM: Gregg Kvistad, Provost
       Craig Woody, Vice Chancellor for Business and Financial Affairs

SUBJECT: Export Controls Policy

U.S. export controls play an important role in support of U.S. national security, foreign policy and non-proliferation efforts. The University of Denver is committed to full compliance with these controls and the policies they support.

The University of Denver engages in a broad range of innovative and important research and scholarship both in the U.S. and abroad. These activities can include the sharing and development of new technologies and research that could be subject to U.S. export control laws. All these activities must be in compliance with U.S. export control laws. Controls can apply broadly, even to preliminary discussions, problem solving or consulting with foreign persons.

Compliance with these controls sometimes can be complicated, depending on the work you are performing, the participation of foreign nationals, or other special circumstances. Due to their importance for U.S. national security, foreign policy and non-proliferation efforts, U.S. export controls can impose significant penalties for violations. These can include administrative and criminal penalties for an institution and individuals.

With this in mind, the University of Denver has designed its policy and procedures to be as helpful as possible. You can find this policy at http://www.du.edu/bfa/policy/ExportControl.pdf. You are expected to read and understand this policy and related procedures. In the event you have questions, you can contact the Export Control Office as follows:

Will Meyers  Export Control Manager       wmeyers@du.edu
Gerald Mauck  Asst. Controller, Research Export Control Officer gmauck@du.edu
More information on the Export Control Office can be found at:

http://www.du.edu/orsp/export-controls.html

Compliance is everyone’s responsibility. If there is a potential problem, the University of Denver needs to know as soon as possible, to determine if corrective action or other measures are needed. In the event you believe that there might be a potential violation of these controls, you have several ways to let the University know:

- Contact Will Meyers or Jerry Mauck in the Export Control Office
- Use the Internal Hotline: http://www.du.edu/internal-audit/about/report.html

Reports to the Hotline can be anonymous. We want to hear from you if you think there is a problem.

Thank you for your continuing efforts to ensure full compliance at the University of Denver.