

## EXEMPTION REQUEST FORM

### 1. PRINCIPAL INVESTIGATOR INFORMATION

Principal Investigator:  
 Position/Title:  
 Department/College:  
 Office/Cell Phone #:  
 Email address:  
 Credentials:  
 IRBNet Title:

### 2. FUNDING

Will this project be funded by a grant or contract? Include pending grants or contracts.

Yes  No

If 'YES', submit grant with IRBNet submission, including pending grants or contracts)

Funding source:  
 Grant #:  
 List associated IACUC or IRBNet numbers:  
     IACUC:  
     IRB:

### 3. EXEMPTION CRITERIA

If your study meets the following exemption criteria, submit this form in its entirety. If the proposed project involves working with any microorganism(s) or virus(es) then it is NOT exempt. *NIH Guidelines* for research involving recombinant DNA molecules list specific exemptions, please check whether the proposed work includes any of the following:

**TABLE 3.A. SECTIONS F-1 TO F-8**

EXEMPTIONS	YES OR NO
<p><b>Section-F-1.</b> Those synthetic nucleic acids that: 1.) can neither replicate nor generate nucleic acids that can replicate in any living cell (e.g., oligonucleotides or other synthetic nucleic acids that do not contain an origin of replication or contain elements known to interact with either DNA or RNA polymerase), and 2.) are not designed to integrate into DNA, and (3) do not produce a toxin that is lethal for vertebrates at an LD50 of less than 100 nanograms per kilogram body weight. If a synthetic nucleic acid is deliberately transferred into one or more human research participants and meets the criteria of Section III-C, it is not exempt under this Section.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No

**Institutional Biosafety Committee (IBC)**

<b>EXEMPTIONS</b>	<b>YES OR NO</b>
<b>Section F-2.</b> Those that are not in organisms, cells, or viruses and that have not been modified or manipulated (e.g., encapsulated into synthetic or natural vehicles) to render them capable of penetrating cellular membranes.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-3.</b> Those that consist solely of the exact recombinant or synthetic nucleic acid sequence from a single source that exists contemporaneously in nature.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-4.</b> Those that consist entirely of nucleic acids from a prokaryotic host, including its indigenous plasmids or viruses when propagated only in that host (or a closely related strain of the same species), or when transferred to another host by well-established physiological means.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-5.</b> Those that consist entirely of nucleic acids from a eukaryotic host including its chloroplasts, mitochondria, or plasmids (but excluding viruses) when propagated only in that host (or a closely related strain of the same species).	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-6.</b> Those that consist entirely of DNA segments from different species that exchange DNA by known physiological processes, though one or more of the segments may be a synthetic equivalent.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-7.</b> Those genomic DNA molecules that have acquired a transposable element, provided the transposable element does not contain any recombinant and/or synthetic DNA.	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Section F-8.</b> Those that do not present a significant risk to health or the environment (see Section IV-C-1-b-(1)-(c))	<input type="checkbox"/> Yes <input type="checkbox"/> No

1. Provide a brief description of the objectives of this study:

## Institutional Biosafety Committee (IBC)

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2. Please provide the following information for recombinant DNA molecules:

Source(s) of DNA:

Host(s):

Vector(s):

Experimental use:

IF YOUR STUDY DOES NOT MEET ANY OF THE EXEMPTION CRITERIA LISTED ABOVE, YOUR APPLICATION WILL REQUIRE FULL IBC REVIEW. Please submit an IBC application via IRBNet. Contact the IBC Administrator at (303) 871-2121 or via email at [IBCAdmin@du.edu](mailto:IBCAdmin@du.edu).