

# UNANTICIPATED EVENT FORM

## POTENTIALLY ADVERSE EVENT / WELFARE CONCERN NOTIFICATION

All protocol and non-protocol related events/concerns involving the care and use of animals must be reported to the IACUC.

PHS policy (Section IV(b)(4), p.12)

PHS policy (Section (IV) (C) (7), p. 15 and (IV) (F) (3), p. 18)

Animal Welfare Act (section 2.31 (c)(4)) and (section 2.31(c) (3) and (d) (7))

### 1. ANIMAL WELFARE OBLIGATION

All individuals participating in DU animal care and use activities are obligated to assure animal well-being for all animals engaged in such activities. If an adverse/unanticipated event occurs, or if a concerning welfare condition develops, then the individual having knowledge of the event is obligated to report, or assure a report of the adverse/unanticipated event or welfare concern has been submitted to the DU IACUC.

**NOTE:** This form is used to notify the DU IACUC of adverse or unanticipated protocol activities, or animal well-being concerns (whether protocol related or not). If reporting an animal well-being concern, you may report it anonymously through the DU Ethics Hotline ([866-780-0002](tel:866-780-0002)) or directly to the IACUC Administrator ([303-871-6947](tel:303-871-6947)). If reporting an adverse or unanticipated protocol activity, please submit this notification via IRBNet. Animal emergencies must be reported to the DU Vivarium Director ([Aurelie Ledreux](mailto:Aurelie.Ledreux@du.edu)) or call ([303](tel:303-871-4345)) 871-4345.

### 2. REPORTING INDIVIDUAL INFORMATION

Reporting Individual:

Contact Phone Number:

E-mail Address:

**NOTE:** The 'reporting individual' information is used to ask follow-up clarifications and allow us to report back to you when the issue has been resolved. Your name is not released to anyone in your supervisory or management line. All reports are confidential, and all personal information is protected. DU will protect reporting individuals from reprisals for reporting any concern or allegation. While confidential reports are preferred, you may request to submit an anonymous report.

### 3. PROTOCOL INFORMATION

Protocol Registry Number:

Principal Investigator:

Protocol Title:

#### 4. ADVERSE EVENT/UNANTICIPATED EVENT/WELFARE CONCERN

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Date of Incident:

Time of Incident:

If date and time incident occurred is unknown, please state this here:

Date & Time Incident Was Discovered:

Location of Animals (bldg., room, rack, etc.):

#### 5. EVENT NARRATIVE

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Briefly describe the event involving animals:

Was the DU Vivarium Director and/or Attending Veterinarian contacted? How and when was the contact made (e.g., phone, email, etc.)

#### 6. CORRECTIVE ACTIONS TAKEN

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Briefly describe any self-corrective actions taken to discourage a similar future occurrence: