

# HONOR CODE with the STUDENT RIGHTS & RESPONSIBILITIES

## Policies and Procedures 2018 – 2019

Honor Yourself. HONOR THE CODE.

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## PIONEER PLEDGE

As a University of Denver Pioneer, I pledge...

to act with INTEGRITY and pursue academic excellence; to RESPECT differences in people, experiences, ideas and opinions; and, to accept my RESPONSIBILITIES as a local and global ethical citizen

Because I take pride in being a member of the University of Denver community, I will uphold the *Honor Code* and encourage others to follow my example.

#### **University Honor Code Statement**

All members of the University of Denver community are expected to uphold the values of *Integrity*, *Respect*, and *Responsibility*. These values embody the standards of conduct for students, faculty, staff, and administrators as members of the University community. Our institutional values are defined as:

Integrity: acting in an honest and ethical manner;

Respect: honoring differences in people, ideas, experiences, and opinions;

Responsibility: accepting ownership for one's own behavior and conduct.

A. *Pioneer Pledge*. The Pioneer Pledge is an action statement that embodies the values of the Honor Code. The "Pledge" is intended as a mutual agreement between all members of the University of Denver community grounded in the values of inclusion, equity, and integrity. Our identities, our cultures, and humanity should be honored and respected. Members of the campus community have the RIGHT to be treated with respect, and share the RESPONSBILITY to behave with integrity, and to create a campus climate that honors the values of free expression and inclusion.

The "Pledge" is intended as a mutual agreement between all members of the University of Denver community and is as follows:

As a University of Denver Pioneer, I pledge....

- to act with INTEGRITY and pursue academic excellence;
- to RESPECT differences in people, experiences, ideas and opinions; and,
- to accept my ethical RESPONSIBILITIES as a local and global citizen

Because I take pride in being a member of the University of Denver community, I will uphold the *Honor Code* and encourage others to follow my example.

B. *Enforcement*. In order to better foster and advance an environment of ethical behavior and conduct in the *University Community*, all community members are expected to take "*Constructive Action*," that is, any effort to discuss or report any behavior and conduct contrary to the *Honor Code* with a neutral party.

The *University*'s Faculty Personnel Guidelines Relating to Appointment, Promotion, and Tenure will be used in the instance of any faculty member who has allegedly violated the *Honor Code*. The University of Denver's Human Resources Policies and Procedures will be used in the instance of any non-faculty employee who is accused of violating the *Honor Code*. The By-Laws, or other Board policies, of Colorado Seminary or the University of Denver will be used in the instance of any trustee who has allegedly violated the *Honor Code*. Any conflict or dispute concerning which procedure governs in the enforcement of the *Honor Code* will be resolved by the Provost & Executive Vice Chancellor or the Vice Chancellor for Campus Life & Inclusive Excellence, or, in the instance of the trustees or Chancellor, by the Board of Trustees.

The Chancellor and Provost & Executive Vice Chancellor grant authority for resolving violations of the Honor Code by students to the Office of Student Rights & Responsibilities (SRR). The Office of Student Rights & Responsibilities is responsible for reviewing *Reports*, assigning *Alleged Policy Violations*, and implementing the student conduct process. The Student Rights & Responsibilities staff may delegate this authority, or portions of it, as necessary for purposes including, but not limited to, maintaining efficiency or avoiding conflicts of interest. The student conduct process is an educational process where students can have an opportunity to learn from the decisions they have made and wherever possible repair any harm they may have caused. The Office of Equal Opportunity & Office of Title IX will be responsible for adjudicating all

alleged violations of Equal Opportunity and Title IX policies.

- C. *Interpretation*. The purpose of publishing the *Honor Code* is to give the *University Community* general notice of policies and procedures related to Student Conduct. The *Honor Code* is not written with the specificity of a criminal statute and should not be interpreted as such. Any question regarding the interpretation of specific portions of the *Honor Code* as it pertains to **students** will be directed to the Vice Chancellor for Campus Life & Inclusive Excellence for final review and judgment; for all other community members, questions will be directed to the Provost & Executive Vice Chancellor or Board of Trustees, as applicable.
- **Revision & Amendment.** The *Honor Code* was developed and has been revised following discussions among a broad range of constituencies within the *University* encompassing students, faculty, staff, administrators, and trustees. Revisions to the *Honor Code* may be made to reflect the ever-changing community.

As it pertains to students, the *Honor Code* is subject to annual review by the Office of Student Rights & Responsibilities staff, who may recommend changes. All recommendations for substantive changes will be forwarded to the appropriate *University* administration (e.g., Athletics, Internationalization), Faculty Senate, Undergraduate Student Government (USG), and the Graduate Student Government (GSG) for comment. The Provost & Executive Vice Chancellor and the Vice Chancellor for Campus Life & Inclusive Excellence hold final authority to revise or amend the *Honor Code*.

In addition, upon recommendation from a Dean or the Faculty Senate, the Provost & Executive Vice Chancellor, in their sole discretion and through consultations with other relevant administrators, may permit individual units or divisions of the *University* to create more stringent professional standards that pertain directly to the unit or division involved. However, individual units or divisions cannot lessen the standards set forth by the *Honor Code*. These additions must be published on an official University of Denver website and provided to those impacted including to the Office of Student Rights & Responsibilities to be utilized in the student conduct process when appropriate.

Any modification of the *Honor Code* for staff, faculty, and administrators, other than to the procedures governing its enforcement, must be approved by the Board of Trustees. Modifications and variations in procedures governing enforcement of the *Honor Code*, including the use of alternative procedures in specific context as mandated by federal or state law, are subject to the approval of the Provost & Executive Vice Chancellor and the Vice Chancellor for Campus Life & Inclusive Excellence.

Any substantial changes to the *Honor Code* will be communicated to the *University Community* no later than ten (10) business days prior to the beginning of the academic term in which the changes are to take effect.

#### **Student Rights & Responsibilities**

**Policies & Procedures.** These policies and procedures govern all student behavior and conduct at the University of Denver Students are expected to uphold the values of the <u>University</u> and the <u>Honor Code</u> by exhibiting behavior and conduct that supports the spirit under which these values were established. Student's actions that are contrary to the core values of the <u>Honor Code</u> may be addressed through the Student Conduct Policies and Procedures as managed by the Office of Student Rights & Responsibilities.

*Mission*. The Office of Student Rights & Responsibilities at the University of Denver supports the University mission by providing programs and services designed to foster a positive and safe environment for student learning. The Office of Student Rights & Responsibilities strives to achieve a campus community in which individuals demonstrate respect for others, for themselves, and for the *University*; uphold high standards of personal and academic integrity; honor differences and gain an appreciation for living in a diverse society; understand the impact of their behavior and conduct both upon the *University Community* and the surrounding community; freely accept the responsibility for and consequences of their behavior and conduct; and seek opportunities to repair harm that they caused through a restorative process.

*Jurisdiction.* The *University* will address alleged student behavior and conduct that may have violated *University Policies*, including the Student Conduct Policies outlined in this document, regardless of where the alleged behavior and conduct occurred. Off-campus student behavior and conduct that disrupts neighbors or negatively impacts the reputation of the University will be addressed through Student Rights & Responsibilities. Students hosting parties at off campus residences are responsible for the activities occurring at or associated with their gatherings.

The student conduct process at the *University* is not intended to replace criminal or civil proceedings. It is a *University* administrative process and does not follow formal rules of evidence or procedure as set forth in any federal, state, or local law. *Case Resolution Bodies* will review and give appropriate weight to information without making a formal determination of relevance or admissibility. Determinations are based upon a finding of preponderance of evidence -- more than likely or less than likely.

Regardless of whether formal criminal charges are filed over alleged behavior and conduct, the *University* may proceed with the student conduct process as it deems appropriate. The student conduct process will not be postponed due to concurrent criminal or civil proceedings. The *University* will still proceed with the student conduct process as described in this document even if a student's criminal or civil charges are reduced or dismissed. Additionally, withdrawing from the *University* will not prevent the student conduct process from proceeding.

Students may be involved in the *University Community* in different ways, such as students who are also *University* employees. Therefore, these policies do not necessarily encompass all policies that may apply.

Many students are also members of academic programs with professional standards of conduct in addition to the *University* standards. A student may be held responsible for alleged violations of both *University Policies* and the professional standards of their academic program through the student conduct process. Students are responsible for knowing all policies that apply to them.

The *University* encourages the prompt reporting of any alleged violations of *any* policy; however, the *University* reserves the right to review any alleged violation at any time in its effort to maintain a safe and productive environment for all its community members.

With approval from the Vice Chancellor for Campus Life & Inclusive Excellence, Student Rights & Responsibilities reserves the authority to pilot new programs, procedures, etc. to best meet the needs of the ever-changing *University Community*. Participation in any piloted programs is strictly voluntary.

The Office of Equal Opportunity & the Office of Title IX maintain the responsibility for adjudicating alleged violations of Equal Opportunity and Title IX policies through a separate investigative and case resolution process.

*University Equal Opportunity Policy - As related to Discrimination, Harassment, and Gender-Based Violence.* The University of Denver strives to create and maintain a community in which people are treated with dignity, decency and respect. The environment of the *University* should be characterized by mutual trust, freedom of inquiry and expression, and the absence of intimidation, oppression and exploitation. People in this community should be able to work and learn in a safe, yet stimulating, atmosphere. The accomplishment of this goal is essential to the academic mission of the *University*.

The *University* will not tolerate unlawful discrimination and/or harassment of any kind, including sexual misconduct. When a student is alleged to have violated any *Equal Opportunity or Title IX policies*, the incident report will be forwarded to the Office of Equal Opportunity or the Office of Title IX for evaluation, investigation, findings, outcomes, and appeal on all violations of *University Policies* addressed in the complaint, including those policies outlined in this document.

For more information, please see the full policies and procedures governing the separate resolution process handled by the Office of Equal Opportunity & Title IX at <a href="http://www.du.edu/equalopportunity/complaints/index.html">http://www.du.edu/equalopportunity/complaints/index.html</a>.

*Crisis Assessment Risk Evaluation (C.A.R.E.) Behavioral Intervention Team.* The (C.A.R.E.) Behavioral Intervention Team will intervene to manage patterns and/or instances of concerning behavior to support the individual student while balancing the safety, health, and well-being of the campus community.

The CARE Team has the authority to impose the following actions or interim measures to address a student's behavior if this behavior is causing a significant disruption to the living and/or learning environment of the campus community and/or poses a credible substantial risk of harm to individuals within the University or to the safety, health, and/or well-being of the campus community.

- Issuing Behavioral Expectations Letters to identify concerning behavior, connect students to appropriate campus, healthcare, and other resources to address concerning behavior and develop an action plan to help the student remain in good standing with the University
- Mandating Individualized Assessments if student's behavior significantly disrupts the living and/or learning environment of others; the student may be unwilling and/or unable to carry out substantial self-care obligations; and/or the student poses a credible substantial risk of harm to individuals within the University or to the safety, health, and/or well-being of the campus community.
- Temporarily removing students from the *University* for a period of time.
- Invoking the Mandatory Withdrawal Policy if the *University* determines that the student can no longer remain as part of the University community for health, safety, or disruption reasons.

For more information on CARE TEAM policies, please see <a href="https://www.du.edu/studentlife/studentsupport/pioneers\_care/assessment-team.html">https://www.du.edu/studentlife/studentsupport/pioneers\_care/assessment-team.html</a>

**Protests & Demonstrations Statement.** The *University* recognizes the right to freedom of expression and the free interchange of ideas, including the right to peaceful and orderly protests and demonstrations. The *University* also recognizes that protests and demonstrations should not disrupt *University* operations, restrict movement of members of the *University Community* on and around campus, and/or interfere with the safety or security of members of the *University Community*. Students are expected to uphold the policies contained within the Honor Code, other *University Policies*, as well as applicable laws, and will be held accountable for any violations, including, but not limited to University policies of Interference, Non Compliance, and Property Damage. The *University* values providing students the opportunity to exercise these rights and the Division of Campus Life and Inclusive Excellence desires to help students do so effectively. Students should reach out to the Director for Student Engagement for assistance in this regard.

ADA Statement. In accordance with the American with Disabilities Act (ADA), as amended (ADAA), Section 504 of the Rehabilitation Act, and the *University*'s commitment to equitable access, the *University* includes this statement to inform students of their right to request official accommodations through the Disability Services Program if they have a documented disability or a medical condition (e.g., mental health, emotional health, physical health). Students should contact the **Disability Services Program** (DSP); 303.871.2372/ 2278; 1999 E. Evans Ave.; 4th floor of Ruffatto Hall. Information is also available online at <a href="www.du.edu/disability/dsp">www.du.edu/disability/dsp</a>; see Handbook for Students with Disabilities. DSP is the only office on campus for students to self-disclose their disability and request accommodations.

*Medical Amnesty Statement.* The *University* has a concern and responsibility for preserving the well-being of all members of the *University Community*. Because the health and safety of students are of primary importance to the *University*, students are expected to not only look out for their own health and safety, but also the safety of their peers. Students are expected to take immediate action when a person's health or safety is threatened.

When a student undertakes an intentional action to seek assistance from a *University Official* or emergency services for themselves or others as a result of excessive alcohol consumption or drug use, the students involved will not be found responsible for an alcohol- or drug-related violation of the Honor Code, nor will an alcohol- or drug-related violation appear on their Student Conduct record. The students involved may be referred for an educational outcome or evaluation related to their health status or substance use. This referral will not constitute a violation of the Honor Code. This option may not be offered on a repeated basis, but is the *University* expects that students will always prioritize their own and others' health and safety. Moreover, this commitment does not preclude legal consequences or *Alleged Policy Violations* for non-alcohol or drug-related Honor Code violations related to the incident.

**Responsibility for Guests Statement.** Students are responsible for the behavior and conduct of their guests and may be held accountable for their guest's violations of the applicable Student Conduct Policies.

#### I. Definitions

For the purposes of this document, the following definitions apply:

- A. *Academic Actions* are those outcomes and/or consequences that are determined by faculty members, instructors, and/or academic units in response to student academic misconduct within a course.
- B. *Alleged Policy Violations* mean the Student Conduct Policies that a student is notified to allegedly have violated as a result of the incident described in a *Report*.
- C. *Appellate Officer* is a person who has been designated to evaluate an appeal on the *Appeal Criteria* and then determine which available action should be taken if any *Appeal Criteria* is met.
- D. Business Day refers to any weekday Monday through Friday in which the University is in operation,

- including days when the *University* is in operation but classes are not in session.
- E. *Case(s)* refer to a *Report* in which *Alleged Policy Violations* are assigned and at least one *Respondent* is named.
- F. *Case Resolution Body* refers to any person, persons, or groups authorized by the *University* to determine whether a student has violated Student Conduct Policies and to impose *Outcomes* when appropriate.
- G. Case Resolution Meeting refers to the time during which a student will be invited to present their perspective of an incident with the designated Case Resolution Body. The Case Resolution Meeting can be conducted inperson with an administrator, through the Student Accountability Board or other Case Resolution Body designated in these policies. Students have the right not to attend the Case Resolution Meeting; however a decision will then be made without their input, and non-attendance is not grounds for appeal.
- H. *Complainant* means a *Reporting Party* who may have been harmed as a result of the incident described in a *Report*. The *Student Conduct Administrator* reserves the right to determine "complaint" status. The *University* reserves the right to proceed with the student conduct process without the presence or cooperation of a *Complainant*.
- I. *Constructive Action* means reporting any action contrary to *University Policies* to someone in a position to take action such as a faculty member, Dean, Campus Safety officer, or administrator. Students failing to take such action may result in a violation of the *Non-Compliance* policy.
- J. Director of Student Rights & Responsibilities is the administrator responsible for the oversight of the Student Conduct Policies and Procedures. The Vice Chancellor for Campus Life & Inclusive Excellence can appoint a designee to fulfill the Director's responsibilities as needed, such as in an instance of an absence, vacancy, or a potential conflict of interest.
- K. *Effective Consent*, in reference to Student Conduct Policies such as Alcohol Misuse, Drug Misuse, Hazing, Physical Misconduct and Property Damage, means an informed, mutually understandable words and/or actions that indicate a willingness to participate in and/or allow a specific activity, freely and actively given by a person with the current mental capacity to make rational decisions. A person may be without such capacity due to the influence of alcohol and/or other drugs. Consent is not effective if it results from the use of physical force, threats, intimidation, or coercion. A person always retains the right to revoke consent at any point during an activity. In order to give consent, one must be of legal age. Consent to any one activity cannot automatically imply consent to any other activity.
  - NOTE: *Consent*, in reference to sexual activity, is defined in the Office of Equal Opportunity & Title IX Procedures, V. Prohibited Conduct, G. Non-Consensual Sexual Contact. 2(a) Consent
- L. Federally Illegal Drugs are set forth by the Controlled Substances Act(CSA), 21 U.S.C. 801 et seq., as amended. Under CSA, marijuana is classified as a Schedule I controlled substance; all forms of cannabis are illegal under federal law, even medical marijuana, and regardless of state drug laws. In an effort to comply with the Drug-Free Schools Act, the University prohibits the distribution, possession, use, or manufacture of marijuana in any form or paraphernalia associated with the use of Federally Illegal Drugs on University Premises.
- M. *Outcomes* are required educational opportunities, restrictions and/or expectations for a student found responsible for violating Student Conduct Policies.
- N. *Proper Written Notification* means (1) delivery via electronic mail to the student's preferred email address in the PioneerWeb or other approved *University* process; or (2) delivery in person or to a student's *University* or permanent mailing address. The student is responsible per <u>University</u> policy to have an updated preferred email account on file with the *University* and to check such email account regularly, and to provide a mailing address.
- O. *Report* means a narrative detailing an incident in which one or more students may have violated the Student Conduct Policies.

- P. Reporting Party is any person who has filed a Report against a student, either directly or through a University Official, such as a Campus Safety officer or a Resident Assistant.
- Q. Respondent means any student who is alleged to have violated one or more of the Student Conduct Policies.
- R. Restorative Justice offers a different framework for case resolution and is considered an "alternative dispute resolution" option. Restorative Justice moves beyond the confines of the traditional student conduct process to acknowledge the injuries sustained by Complainants/victims as well as the potential damaged relationships that result from any wrongdoing and focuses on repairing the harms created. Complainants/victims take an active role in the process, while Respondents are encouraged to take responsibility for their actions and take action to repair wrongdoings where possible. Restorative Justice Conferences are a Case Resolution Body option when Respondents take active responsibility for their actions, are interested in directly addressing the negative impact caused by their actions, and volunteer to participate.
- S. *Students* include any persons taking or auditing classes at the *University*, matriculated in any *University* program, or on *University Premises* for any purpose related to the same.
- T. Student Conduct Administrators are administrators and staff authorized to implement the student conduct process, and may include the professional and graduate staff within Student Rights & Responsibilities and Housing and Residential Education (HRE).
- U. *Student Organization* is defined as two or more students meeting together in an organized manner with a common purpose. This definition includes, but is not limited to athletic teams, fraternities, and sororities. *Registered Student Organizations* are defined as those student-run organizations that have received *University* recognition either by Undergraduate Student Government (USG), Graduate Student Government (GSG), and/or by an official department of the *University*.
- V. University means the University of Denver (Colorado Seminary).
- W. *University Community* includes all students, *University Officials*, and other individuals involved in the normal operations of the *University*.
- X. University Events include any activity involving students or University Officials and held on University Premises. Activities involving students or University Officials not held on University Premises are also considered University Events when such activities are connected with or sponsored by any academic course or University Organization.
- Y. *University Official* includes any person employed by the *University* performing academic, administrative, or professional duties. When this document refers to any *University Official* by title, such reference includes their designee.
- Z. *University Organization* means any group of persons who have complied with the formal requirements for *University* recognition.
- AA. *University Polices* refers to any rule, regulation, or process as it relates to the expectations and functions of the institution. When used in this document, *University Policies* includes, but is not limited to, the Student Conduct Policies.
- BB. *University Premises* include all land, buildings, facilities, or other property in the possession of or owned, used, or controlled by the *University*, including adjacent streets and sidewalks.
- CC. Vice Chancellor for Campus Life & Inclusive Excellence is the administrator with oversight of the Division of Campus Life and Inclusive Excellence. As referenced in this document, the Vice Chancellor for Campus Life & Inclusive Excellence can empower a designee to act on their behalf in times as needed, such as in an absence or when a conflict of interest may apply.
- DD. Weapons refer to objects designed or used to inflict injury or damage and include, but are not limited to,

knifes with a blade over three inches, guns, pellet guns, paint guns, tasers, bows and arrows, machetes, ninja stars, nun chucks, explosive or incendiary devices, and swords.

#### III. Rights & Responsibilities

A. *Respondents & Complainants*. Throughout their involvement in the student conduct process, the *Respondent* and *Complainant* parties have the following rights and responsibilities:

#### 1. Fair Treatment.

- a) The right to be treated with dignity and respect.
- b) The right to receive information regarding the student conduct process and their role within that process.
- c) The right to object to a member of a *Case Resolution Body* based on a demonstrable bias that would affect the member's ability to render a fair decision.
- a) The responsibility to request reasonable arrangements and/or accommodations (i.e., for disability, language barriers, or location/proximity concerns) to allow their full participation in the student conduct process. Requests must be received by Student Rights & Responsibilities as soon as possible before the *Case Resolution Meeting* \*Students seeking accommodations due to a documented disability must seek such accommodations through the Disability Services Program (DSP) process and procedures. DSP review of accommodation(s) requests may take up to five (5) business days.
  - d) The right for all decisions in the process to be based upon a preponderance of evidence.
  - e) The responsibility for students to promptly notify the *Director of Student Rights & Responsibilities* or the *Vice Chancellor for Campus Life & Inclusive Excellence*, if the student believes they have not received fair and equitable treatment.
  - f) Additionally, the *Respondent* has the right to be considered not responsible of all *Alleged Policy Violations* unless found responsible by a *Case Resolution Body*. However, this right will not prevent the *University* from taking necessary interim action as specified in Section VII of this document.

#### 2. Privacy.

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- a) The right to privacy regarding their *Case* throughout the student conduct process with respect to all parties.
- b) The right to be free from intimidation and harassment and, upon request, to request that the *University* take reasonable steps to prevent unnecessary or unwanted contact with involved parties.
- c) The responsibility to notify the *Director of Student Rights & Responsibilities, Associate Vice Chancellor of Campus Life & Inclusive Excellence*, or the *Vice Chancellor of Campus Life & Inclusive Excellence*, if the student believes reasonable steps need to be taken in order to protect these rights.
- d) The right to have to information subject to The Family Educational Rights and Privacy Act (FERPA) and the Health Insurance Portability and Accountability Act (HIPAA) protected as provided by such laws.

<sup>&</sup>lt;sup>1</sup> Note: These rights and responsibilities are afforded to students involved in the traditional Student Conduct Process. Alternative dispute resolution processes, such as *Restorative Justice* Conferences, may limit a student's rights and responsibilities, but involvement in such processes is voluntary.

#### 3. Presence of a Support Person.

- a) The right to have a one (1) support person of their choice present throughout the student conduct process. A support person can be any person chosen by the student, including a parent, family member, or an attorney. To address the *University* 's obligations under FERPA, a party must file a Release of Information form with the *University* for any involved support person. This can be done online at <a href="https://myweb.du.edu/mdb/du\_bwskfrpa.P\_DU\_AddStuFERPA">https://myweb.du.edu/mdb/du\_bwskfrpa.P\_DU\_AddStuFERPA</a> after logging into PioneerWeb/MyWeb. A party may consult with their support person, but the support person may not speak on behalf of or actively participate in any Student Conduct proceeding, which includes serving as a witness. Additionally, a support person is not allowed to attend a *Case Resolution Meeting* without the party they are supporting being present.
- b) The responsibility to notify their support person of the time, date, and location of any student conduct proceedings. The *University* will not reschedule proceedings to accommodate the schedule of a support person.
- c) The responsibility to provide Student Rights & Responsibilities notification of the name of their support person to no later than 48 hours before the scheduled start of the *Case Resolution Meeting*. The support person's name will be made available to the involved parties (as applicable), and an opportunity given to voice any objections to the support person's participation no later than 24 hours before the scheduled start of the *Case Resolution Meeting*. Student Rights & Responsibilities has the authority to exclude any individual from participating based on a reasonable objection. Failure to provide a support person's name prior to the *Case Resolution Meeting* may be grounds to exclude that support person.

#### 4. Written Notice.

- a) The right to *Proper Written Notification* of any *Case Resolution Meeting* conducted as a result of a *Report* the student filed, was filed on their behalf, or in which they are involved. This notice will include the following:
  - The time, date, and location of the *Case Resolution Meeting*;
  - The consequences and process if a student chooses not to appear at the *Case Resolution Meeting*;
  - A copy of the redacted *Report* OR the information on whom to contact for a hard-copy *Report*;
  - The *Alleged Policy Violations* being considered and the name(s) of the *Reporting Party* (as applicable);
  - A list of all parties to be called by the *University* (as applicable); and
  - The procedures for requesting a change in the time or date of the *Case Resolution Meeting*.
- b) The right to view any supporting documents not already provided in the written notice, such as videos and photos. Note: photos and videos will not be released. However, reasonable efforts will be made to allow parties the chance to review such materials in a monitored environment.
- c) The responsibility, per *University* policy, to provide the *University* with accurate address and other contact information so that notification is not unduly delayed.

#### 5. Respond to Alleged Policy Violations and Provide Testimony.

- a) When an administrative Case Resolution Meeting is held:
  - The right to respond to the *Alleged Policy Violations*, which includes the right to present information directly relevant to the incident.
  - The right *not* to present evidence. This right should not be construed to allow the presentation of

false or misleading information.

- b) When a Student Accountability Board (SAB) meeting is held, *additional* rights and responsibilities include:
  - The right to hear all witnesses and information presented during a SAB meeting. This does not include the right to question witnesses.
  - The right to present witnesses. If a party wishes to present information from a witness who is unable to participate in person, the party must provide a written statement from that individual at the time of the SAB meeting. The Student Conduct Process addresses student behavior and conduct, not student character, therefore character witnesses, statements, and reference letters are not accepted.
  - The responsibility to respond to any witnesses or information when such evidence is presented at the SAB meeting before the witness has been dismissed. The party must direct any response to the SAB members and not to the witness. The SAB may not consider any rebuttal presented after the witness is dismissed.
  - The responsibility to inform any witnesses the party wishes to call of the time, date, and location of the SAB meeting and to have on hand all evidence the party wishes to present at the time of the SAB meeting.
  - The responsibility to provide Student Rights & Responsibilities prior notification of the names of any witness the party intends to present no later than 48 hours before the scheduled start of the SAB meeting. These names will be made available to the involved parties (as applicable), and each party will have an opportunity to raise any objections to the witness' participation no later than 24 hours before the scheduled start of the SAB meeting. Student Rights & Responsibilities has the authority to exclude any individual from participation based on a reasonable objection. Failure to provide Student Rights & Responsibilities with the name of a witness prior to the SAB meeting may be grounds to exclude that witness.

#### 6. Written Decision and Appeal.

Specific to *Respondents*:

- a) The *Respondent* has the right to *Proper Written Notification* of the results of their *Case Resolution Meeting*. This notice will include the following:
  - The rationale for the finding;
  - The specific Student Conduct Policies the *Respondent* has been found responsible for violating;
  - The *Outcomes* imposed; and
  - The procedures for filing an appeal (as applicable).
- b) The *Respondent* has the right to appeal the finding and *Outcomes* of their *Case Resolution Meeting* (as applicable).
- c) The *Respondent* has the responsibility to comply with all *Outcomes* imposed as the result of their *Case Resolution Meeting*. If the *Respondent* appeals the finding and/or Outcomes, the *Respondent* must comply with all *Outcomes* after receiving the appeal decision.

#### Specific to Complainants:

a) The *Complainant* has the right to *Proper Written Notification* of the results of any *Case Resolution Meeting* convened as a result of a *Report* they filed if the *Complainant* is a victim of an alleged crime of violence. If the victim dies because of the crime or offense, the alleged victim's next of kin has the right to such notification. This notification will include the following – to the extent such

items relate to the Complainant:

- The rationale for the finding;
- The specific Student Conduct Policies the *Respondent* has been found responsible for violating;
- The Outcomes imposed; and
- The procedures for filing an appeal.
- b) The *Complainant* has the right to appeal the findings and *Outcomes* of a *Case Resolution Meeting* under the circumstances set forth above.

NOTE: The appealing party must submit the Appeal through the online Appeal Form.

#### II. Student Rights & Responsibilities Policies

These Student Rights & Responsibilities Policies set forth prohibited behavior and conduct for students.

#### 1. Academic Misconduct includes, but is not limited to:

- Plagiarism, including any representation of another's work or ideas as one's own in academic and educational submissions.
- Cheating, including any actual or attempted use or possession of resources prohibited by the instructor(s) or those that a reasonable person would consider inappropriate under the circumstances for academic submissions, and/or any actual or attempted effort to assist another student in cheating.
- Double submission, including any submission of an academic work for more than one course without expressed permission.
- Fabrication, including any falsification or creation of data, research or resources to support academic submissions.

#### **2. Alcohol Misuse** includes, but is not limited to:

- Possession and/or use of alcohol, except as expressly permitted by law or *University Policies*. Alcoholic beverages may not be used by or possessed by any person under the legal drinking age (i.e., 21 years of age in the US).
- Manufacture and/or distribution of alcohol, except as expressly permitted by law or *University Policies*. Alcoholic beverages may not be distributed to any person under the legal drinking age (i.e., 21 years of age in the US).
- Intoxication to the point of endangering one's own health or safety regardless of age.
- Any act which causes a person to consume alcohol without their *Effective Consent*.
- Any possession or use on *University Premises* of paraphernalia used to facilitate the rapid consumption of alcohol. This includes but is not limited to beer bongs or similar items.
- Any violation of these Student Rights & Responsibilities Policies while intoxicated regardless of age.

#### **3. Dishonesty** includes, but is not limited to:

- Intentionally giving false or misleading information to a *University Official*, law enforcement officer, or other emergency service professional in the performance of their duties or to another member of the *University Community*. This includes, but is not limited to, intentionally omitting information when asked by a *University Official*. This also may include asking or persuading someone else to give false or misleading information and/or to omit information to another member of the *University Community*.
- Purchase, possession, use, manufacture, or distribution of forged or falsified identification. This includes, but is not limited to, use of another person's identification or the possession of "novelty" identification that misrepresents one's age.

- Any forgery, misuse, misrepresentation, or unauthorized alteration of any *University* documents, records, or credentials. This includes, but is not limited to, the inclusion of false information on any official form or document submitted to the *University* or alteration of *University* parking passes.
- Any written or public statement about another person or group that is proven to be false or misleading that would cause distress or would cause a reasonable person to fear for their safety.

#### **4. Drug Misuse** includes, but is not limited to:

- Possession and/or use of any *Federally Illegal Drug*, or any possession or use of any prescription drug or other controlled substance except under the direction of a licensed physician. Marijuana, including Medical Marijuana, is prohibited on *University Premises* in all circumstances.
- Manufacture and/or distribution of any *Federally Illegal Drug*, prescription drugs, or other controlled substance is prohibited, including Cannabis in any form.
- Being under the influence of any *Federally Illegal Drug*, prescription or non-prescription drug, or other controlled substance to the point of endangering one's own health or safety regardless of age.
- Any possession or use on *University Premises* of paraphernalia used to facilitate the use of any *Federally Illegal Drug* or other controlled substance in violation of this policy regardless of age. This includes but is not limited to marijuana pipes, bongs and scales or other measuring devices.
- Any act which causes a person to ingest any *Federally Illegal Drug*, prescription drug, or other controlled substance without their *Effective Consent*.
- Any violation of these Student Rights & Responsibilities Policies while under the influence of any *Federally Illegal Drug*, prescription drug, or other controlled substance in violation of this policy regardless of age.

#### **5. Endangerment** includes, but is not limited to:

- Any act that directly or indirectly creates a substantial risk to anyone's medical or mental health or safety (including one's own health or safety) regardless of intent. This includes, but is not limited to, the use or abuse of any substances that results in medical evaluation or assistance.
- Any act, display, or communication that intentionally initiates or causes to be initiated any false *Report* of an emergency. This includes, but is not limited to, any threat of fire, explosion, or any other emergency or the unauthorized possession, use, or alteration of any emergency or safety equipment.
- Any possession or use of *Weapons*, ammunition, explosives, fireworks, or other objects designed or used to inflict injury or damage while on *University Premises*, even if the student possesses a valid concealed weapons permit or other lawful permission to carry a *Weapon*, or the reckless misuse of these items either on or off *University Premises*. This includes, but is not limited to, items that simulate *Weapons* or other dangerous objects. The possession of non-lethal self-defense instruments such as mace is not prohibited; however, the reckless use of those instruments is prohibited.
- Intentionally or recklessly causing a fire that damages or threatens *University* or personal property and/or causes injury. This includes, but is not limited to, tampering or improper use of fire safety equipment.

#### **6.** Harassment includes, but is not limited to:

• Bullying, including any intentional electronic, written, verbal, or physical act or a series of acts of physical, social, or emotional domination that cause physical or emotional harm to another person or group. Bullying conduct may not only cause a negative effect on individuals targeted, but also on observers of said conduct. Bullying conduct is severe, persistent, or pervasive and has the effect of substantially interfering with a community member's education, employment, or full enjoyment of the *University*; creating a threatening or intimidating environment; or substantially disrupting the orderly operation of the *University*.

- Coercion, including any use of force, threats, manipulation, blackmail, bribery or extortion in order to persuade someone to do something.
- Intimidation, including any verbal, written, or electronic threats of violence or other threatening behavior and conduct directed toward another person or group that reasonably leads the person(s) in the group to fear for their physical or emotional well-being, or significantly impacts a person's social engagement on campus.
- Retaliation, including any actions, or attempted actions, that impose a hardship, loss of benefit, or a penalty on any student, faculty, or staff in response to filing or responding to a *Report*, appearing as a witness in the investigation of a *Report*, or serving as a *Student Conduct Administrator* or as a member of a *Case Resolution Body*.

Any form of harassment based on race or ethnicity, color, national origin, age, religion, disability, sex, sexual orientation, gender identity, gender expression, marital status, veteran status, or genetic information, or that is in the context of intimate partner violence is a violation of the Equal Opportunity and Title IX Policies.

#### **7. Hazing** includes, but is not limited to:

• Any act, even if committed with the *Effective Consent* of all parties, which endangers the psychological or physical health or safety of a person, or by which a person is encouraged to engage in conduct that a reasonable person would consider to be humiliating, when the act is explicitly or implicitly a condition of admission into, affiliation with, or continued membership in any group. Actively or passively encouraging these acts is also prohibited.

#### **8. Interference** includes, but is not limited to:

• Any act, display, or communication that causes a disruption or an obstruction of educational, administrative, residential (including off *University Premises*) or other aspect of the *University's* mission or operations, or intentionally interferes with the right of access to *University* facilities or freedom of movement of anyone on *University Premises*. This policy is not intended to prohibit organized, peaceful, and orderly protests.

#### **9. Non-Compliance** includes, but is not limited to:

- Any failure to comply with the reasonable request of a *University Official*, law enforcement officer, or other emergency service professional in the performance of their duties. This includes, but is not limited to, requirements as part of the Student Conduct Process (as applicable).
- Any failure to provide one's Pioneer Card upon request to any *University Official*.
- Any failure to abide by signs, placards, or other official postings.

#### **10. Physical Misconduct** includes, but is not limited to:

- Any act causing or likely to cause, bodily harm to any person, regardless of intent.
- Any act resulting in physical contact with another person, without their *Effective Consent*.

#### 11. Property Damage includes, but is not limited to:

• Any act causing or likely to cause, damage to property without the *Effective Consent* of the owner or person legally responsible for that property, regardless of intent. This includes, but is not limited to, vandalism of residence hall bulletin boards.

#### **12. Provocation** includes, but is not limited to:

- Any act, display, or communication that may reasonably be expected to cause an immediate disruption of normal *University* activity by a person to whom that action is specifically directed.
- Any act, display or communication that encourages actions that may be reasonably expected to cause harm to a person or damage to property. This includes, but is not limited to, rioting.

#### 13. Theft.

- Attempted or actual acquisition or possession of property (including intellectual property) without the consent of the owner or person legally responsible for that property. This includes, but is not limited to, the possession of property a student should reasonably know to have been stolen.
- Any utilization of labor or services by unauthorized or deceitful methods. This includes, but is not limited to, the misuse of *University* parking passes.
- Any attempted or actual acquisition of items provided without charge when that acquisition exceeds reasonable limits or restrictions imposed by the owner or person legally responsible for that materials. This includes, but is not limited to, taking excessive numbers of free newspapers.

#### **14.** Unauthorized Entry or Access includes but is not limited to:

- Entry into or presence within enclosed *University* buildings or areas including, but not limited to, athletic facilities, construction sites, and student rooms or offices, even when unlocked, without the *Effective Consent* of the owner or person legally responsible for that property, regardless of intent.
- Climbing on any *University* building or *University*-owned structure or being present on building roofs.
- Tampering with locks to *University* buildings, unauthorized possession or use of *University* keys, and alteration or duplication of *University* keys.

#### 15. Violation of the Law.

- Any participation in illegal activity not otherwise addressed in these policies.
- Any violation or conviction of any federal or state law or local ordinance or regulation.

#### 16. Violation of the *University's* Discrimination and Harassment Policy.

• Any violation of the *University's* Discrimination and Harassment Policy, which includes, but is not limited to, discrimination, harassment, sexual harassment, non-consensual sexual contact, sexual exploitation, domestic violence, stalking, and retaliation. For a complete overview of the prohibited conduct included in this policy, please visit <a href="https://www.du.edu/equalopportunity/policies/index.html">https://www.du.edu/equalopportunity/policies/index.html</a>

#### 17. Violation of Other University Policies.

• Any act which is in violation of *University Policies*, whether or not published in these Student Rights & Responsibilities Policies. This includes, but is not limited to the Guide to Residence Living, Smoke-Free DU policy, parking regulations, and policies governing the appropriate use of *University* technology.

#### IV. Steps in the student conduct process

A. *Reporting*. Any person may file a *Report* with the *University*. A *Report* is considered to have been filed when it has been presented in writing to a *Student Conduct Administrator*.

Once a *Report* has been filed, the *University* may proceed with the student conduct process, even if a *Reporting Party* or *Complainant* later chooses to retract, rescind, or recant any or all of the *Report* or chooses not to cooperate. The *University* will only take action without the consent of a *Complainant* if, in the

Director of Student Rights & Responsibilities' judgment, such action is necessary to protect the safety, security, or integrity of the *University* or any member(s) of its community. If a Respondent withdraws from the *University*, the student conduct process will continue.

- B. Issue Interim Actions. The University may implement Interim Actions based on the unique circumstances of a specific incident. Please see Section VII in this document for further information.
- C. Referrals to the Office of Equal Opportunity & Title IX). Student Rights & Responsibilities will refer Reports involving allegations of violation of the University's Discrimination and Harassment Policy, including sexual misconduct, to the Office of Equal Opportunity & Title IX for evaluation, investigation, and findings on all allegations in the Report. If the Office of Equal Opportunity & Title IX makes a determination of responsibility, the case with be referred to an Outcomes Council. For more information, please see the Office of Equal Opportunity & Title IX Procedures https://www.du.edu/equalopportunity/procedures/index.html.
- D. *Determination of Alleged Policy Violations*. Once a *Report* has been filed, a *Student Conduct Administrator* will determine if *Alleged Policy Violations* from the Student Conduct Policies may be applicable and one of the following will occur:
  - 1. No further action will be taken if the *Report* is not substantive. The *University* may resume action on any *Report* should further relevant information become available.
  - 2. The *Report* will be referred to a *Case Resolution Body* if the *Report* is substantive, and sufficient evidence already exists to proceed with the Student Conduct Process.
- E. Case Resolution Meeting Notification. Once the Student Conduct Administrator has determined the Alleged Policy Violations, the Student Conduct Administrator will review the schedule(s) of those parties involved and schedule a Case Resolution Meeting with the applicable Case Resolution Body. The Student Conduct Administrator will send each party Proper Written Notification, which will include the time, date, and location for the Case Resolution Meeting along with the Alleged Policy Violations being considered and information reported about the incident as applicable to the party.
- F. Case Resolution Meeting. The Case Resolution Meeting is an opportunity to gain the perspectives from the parties involved in the incident, evaluate the impact of the incident, and begin to explore possible alternatives to the behavior and conduct that occurred. Cases may be referred to the appropriate Case Resolution Body based on the following guidelines:
  - 1. Administrative Case Resolution Meeting with Housing and Residential Education (HRE) staff in Cases which:
    - a) Occur within the Residence Halls/Apartments involving violations of the policies set forth in the Guide to Residential Living and basic violations of Student Conduct Policies.
  - 2. Administrative Case Resolution Meeting with Student Conduct staff in Cases which:
    - a) Occur within the Residence Halls/Apartments and involve physical violence, legitimate threats of violence, or *Weapons*.
    - b) Occur within the Residence Halls/Apartments and at least one of the *Respondents* involved is already on probation or has been previously suspended.
    - c) Non-Residential incidents.
  - 3. Student Accountability Board (SAB) in Cases which:
    - a) An active *Complainant* is participating;

- b) There is highly disputed information between parties;
- c) The *Outcome* is potentially dismissal.

In *Cases* involving a minor violation of the policies set forth in the Guide to Residential Living and/or Student Conduct Policies, a simple warning letter may be sent to the *Respondent* instead of scheduling a *Case Resolution Meeting*. However, a *Case Resolution Meeting* is available upon request from the *Respondent*.

NOTE: Student Rights & Responsibilities reserves the right to make exceptions to the above guidelines to accommodate scheduling, confidentiality, or other extenuating circumstances.

NOTE: Parties involved with the Student Conduct Process are prohibited from making audio and/or video recording of any Case Resolution Meeting or proceeding.

Following a *Case Resolution Meeting*, the *Case Resolution Body* can take one of the following actions regarding each *Respondent*:

- 1. Find the *Respondent* not responsible of violating *University* Student Conduct Policies.
- 2. Find the *Respondent* responsible of violating *University* Student Conduct Policies and impose appropriate *Outcomes*. The Case Resolution Body will only find a student responsible if a preponderance of the evidence presented supports such a finding.
- 3. Find the *Respondent* responsible of violating *University* Student Conduct Policies and refer the student to a *Restorative Justice* Conference (RJC) for *Outcomes* when the *Case Resolution Body* determines that this option is appropriate. A student will only be referred to an RJC if an agreement on responsibility can be made and the student agrees to seek alternative ways to address the impact of their actions.
- 4. Refer the *Respondent* to a new *Case Resolution Meeting* before a separate *Case Resolution Body*.
- G. Decision Notice. Once the Case Resolution Body has made a decision regarding responsibility and possible outcomes, Proper Written Notification will be sent individually to parties involved. Decision Notice letters will include, as applicable to the recipient, a rationale explaining the decision, the determination of responsibility per each Alleged Policy Violation reviewed, any assigned Outcomes with details and due dates for completion, and the appeal instructions.
- H. Appeal. Respondent and Complainants have the right to appeal a decision made by a Case Resolution Body based on specific appeal criteria. Student Rights & Responsibilities will only consider an appeal when the appealing party submits a completed Appeal Form, available on the Student Rights & Responsibilities website, to Student Rights & Responsibilities within five (5) business days of the date on the Decision Notice letter. The Director of Student Rights & Responsibilities has the discretion to grant a reasonable extension to this deadline if the appealing party requests such an extension within the appeal period. A reasonable extension is considered to be 2-3 additional business days. The Director of Student Rights & Responsibilities will then refer the appeal to an Appellate Officer for review.

If the *Respondent* submits an appeal, Outcomes assigned will be considered "on hold" pending a final decision on the appeal, unless otherwise determined or in a case in which the *Respondent* was previously issued an Interim Suspension.

If either or both of the *Complainant* or Respondent submits an Appeal Form, within five (5) business days of the date on the Decision Notice letter, the other party(ies) involved in the *Case* will be notified that an appeal has been received. Neither *Complainants* nor *Respondents* have the right to view the appeal information that was submitted by the other party(ies). However, the Appellate Officer may reserve the right to forward any and all portions of the appeal to the other party(ies) as needed in order to address matters raised in the appeal. In such situations, the other party(ies) will be given the opportunity to submit a

written response, which will only be considered when provided within the expressed deadline.

- 1. *Appeal Criteria*. The appeal must include information to support the Appeal Criteria cited in the appeal. Appeals will only be considered in the following circumstances:
  - a) The existence of procedural errors so substantial that they greatly impacted the findings, responsibility determination, and/or the ultimate *Outcomes*;
  - b) Presentation of new and significant evidence which was not reasonably available at the time of the initial *Case Resolution Meeting* and would likely alter the findings, responsibility determination, and/or the ultimate *Outcomes*; and/or
  - c) The *Outcomes* imposed are substantially disproportionate to the severity of the violation.
  - Disagreement with the decision is not grounds for appeal.
- 2. Appellate Officers. The following persons are designated as Appellate Officers:
  - a) If the *Case* was heard by Housing and Residential Education (HRE), the *Director of Student Rights* & *Responsibilities* will review any appeal.
  - b) If the *Case* was heard by Student Rights & Responsibilities staff or the Student Accountability Board (SAB), the Vice Chancellor for Campus Life & Inclusive Excellence or their designee will review any appeal.
- 3. Available Actions. The Appellate Officer, will review the Appeal Form, Student Conduct Records, and any other applicable information to determine a decision on the appeal. Then, the Appellate Officer is limited to taking one of the following actions:
  - a) Deny the appeal and uphold the original decision based on *not* satisfying the Appeal Criteria.
  - b) Accept the appeal in whole or in part based on satisfying the Appeal Criteria and either:
    - send the Case back to Student Rights & Responsibilities for a new Case Resolution Meeting; or
    - dismiss the *Case*.

The *Appellate Officer* may not replace the judgment of the original *Case Resolution Body*; the appellate process exists solely to review the procedures used in the Student Conduct Proceedings.

The Appellate Officer will transmit a final decision on the appeal within five (5) business days after receipt of the appeal. If there are extenuating circumstances, all parties involved will be notified that an extension beyond five (5) business days is necessary in making a decision on the appeal.

H. Campus Notification and Refunds. The case resolution process is considered completed when either no appeal is received by the student within five (5) business days or an appeal is denied, rendering the decision by the Case Resolution Body as final. At this time, Student Rights & Responsibilities may notify campus entities of the decision as necessary in order to move forward with processes impacted by the Outcomes. For example, in Cases resulting in suspension or dismissal, Student Rights & Responsibilities will notify the offices impacted financially to update their records. Financial Aid Eligibility is based on the effective withdrawal date. The effective withdrawal date is the first date of notification to the Office of the Registrar or other designated offices that the student is no longer enrolled (see <a href="http://www.du.edu/financialaid/undergraduate/policies/withdrawing.html">http://www.du.edu/financialaid/undergraduate/policies/withdrawing.html</a> for more information). Campus

http://www.du.edu/financialaid/undergraduate/policies/withdrawing.html for more information). Campus housing and food expense will be reimbursed at a pro-rated amount based on the date of the *Outcome* becomes final. Tuition will be reimbursed according to the posted *Tuition Refund Calendar* (see <a href="http://www.du.edu/registrar/calendar/tuitionrefunddates.html">http://www.du.edu/registrar/calendar/tuitionrefunddates.html</a>) based on the incident date. Other fees (i.e., parking permit, Greek membership, health insurance, student activities, etc.) may be refunded at the discretion of

the applicable office.

#### V. Case Resolution Bodies

When Student Rights & Responsibilities determines that a possible policy violation has occurred, the case is referred to one of the following *Case Resolution Bodies* for responsibility and/or outcome determination.

- A. Student Accountability Board. The Student Accountability Board (SAB) is empowered to conduct Case Resolution Meetings involving student Respondents and issue all forms of Outcomes, up to and including dismissal from the University.
  - 1. *Authority*. The SAB has the authority to determine if a *Respondent* is responsible for a violation of the Student Conduct Policies and to assign *Outcomes* as appropriate.
  - 2. Composition. The SAB will be composed of three (3) eligible University Community members, selected from a pool of eligible SAB members, plus a Chairperson. The Chairperson will be the Director of Student Rights & Responsibilities or their designee. The role of the chairperson is to facilitate the SAB and to advise the SAB regarding the proper procedures to follow. Specific guidelines for who will serve are as follows:
    - a) If the *Respondent* is an undergraduate student, at least one (1) member of the SAB will be an undergraduate student. If the *Respondent* is a graduate student, at least one (1) member of the SAB will be a graduate student.
    - b) If possible, at least one (1) member of the SAB should be a *University* faculty member.
    - c) If possible, at least one (1) member of the SAB should be a *University* staff member.
    - d) If the *Case* involves an alleged violation of Academic Misconduct, at least one (1) member of the SAB must be a *University* faculty member.

The SAB may conduct a meeting with only two (2) members, provided that no participating party objects.

- 3. *Eligibility*. In order to be eligible to serve on the SAB, individuals must meet the following requirements:
  - a) Students must be registered as a full-time student in good standing after completing at least one (1) term of coursework at the *University*.
  - b) Faculty must have be currently employed by the *University* and taught courses at the *University* for a minimum of one (1) academic term. Faculty must also have taught at least one (1) course within the most recent two (2) academic terms
  - c) Staff must be currently employed part- or full-time, and must have been employed full- or part- time by the *University* for at least one (1) complete academic term.

Student Rights & Responsibilities is responsible for recruiting, selecting, and training members of the SAB. Members of the SAB are expected to excuse themselves from a particular SAB meeting if there is the potential for their objectivity to be compromised.

#### 4. SAB Procedures.

- a) The Chairperson calls the SAB to order.
- b) The Chairperson states the conditions of the SAB Meeting, including:
  - The SAB Meeting will be audio-recorded. This recording will be kept for a period of no less than seven (7) years from the date of the *Respondent*'s most recent case.
  - The SAB Meeting will be closed, with participation limited to the *Respondent*, the *Complainant*,

- and any support persons and any witnesses.
- All statements will be restricted to matters relevant to the *Case*.
- Any person disrupting, interfering with or failing to abide by the rulings of the Chairperson may be removed from the SAB Meeting.
- Support persons, if present, are restricted to consulting with the party they are there to support. Support persons may not address witnesses or the SAB unless invited to do so by the Chairperson.
- Deliberations will be limited to the SAB and will not be audio-recorded.
- The SAB Meeting and the result of the SAB Meeting are considered part of the *Respondent's* educational record, and are protected by FERPA.
- c) The Chairperson asks the *Complainant\** and the *Respondent* if there are any objections to proceeding with the SAB Meeting at this time. The Chairperson is responsible for deciding if such objections are reasonable and what measures should be taken to address them.
- d) The Chairperson presents the *Report* to the SAB and states the *Alleged Policy Violations*.
- e) The Chairperson asks the *Respondent* to respond to the *Alleged Policy Violations* with a statement of either "Responsible" or "Not Responsible."
- f) The Complainant\* makes an opening statement.
- g) The Respondent makes an opening statement.
- h) The *Complainant\** presents evidence and/or calls witnesses. The SAB has the option of questioning the *Complainant\** and witnesses.
- i) The *Respondent* presents evidence and/or calls witnesses. The SAB has the option of questioning the *Respondent* and witnesses.
- j) The *Complainant\** presents a closing statement.
- k) The *Respondent* presents a closing statement.
- 1) The Chairperson concludes the SAB Meeting.
- m) The SAB enters closed deliberations. A unanimous decision among the three (3) members is desirable for determining responsibility; however, a majority vote is acceptable if, in the Chairperson's opinion, unanimity is not reasonably achievable.
- n) If the SAB determines the student to be responsible for violation of the Student Conduct Policies, a unanimous decision among the three (3) voting members is desirable for determining *Outcomes*; however, a majority vote is acceptable if, in the Chairperson's opinion, unanimity is not reasonably achievable.
  - \*If a Complainant has been designated and chooses to attend the SAB Meeting.

Note: The Chairperson has the discretion to vary the specific order of the components listed above, so long as each of the components is included. Additionally, there may be multiple Complainants and Respondents involved in a SAB at one time. All Complainants will speak first, followed by all Respondents.

- B. *Director of Student Rights & Responsibilities*. The *Director of Student Rights & Responsibilities*, or their designee, has the authority to impose *Outcomes* up to, and including, suspension.
- C. Student Rights & Responsibilities Staff. Student Rights & Responsibilities staff members may be empowered by the Director of Student Rights & Responsibilities to impose Outcomes up to, and including, deferred suspension.

- D. Housing and Residential Education (HRE) Staff. HRE staff may be empowered by the Director of Student Rights & Responsibilities to conduct Case Resolution Meetings for Cases that originate in the residence halls and are not assigned to a different Case Resolution Body. Generally, HRE staff may hear Cases involving alleged violations of policies set forth in the Guide to Residential Living and alleged violations of Student Conduct Policies. HRE staff members have the authority to assign Outcomes up to, and including, probation.
- E. *Faculty*. The authority of faculty members to make decisions on *Academic Actions* in response to allegations of Academic Misconduct violations is inherent to their role at the *University*. *Academic Actions* may include, but are not limited to:
  - 1. Failure of an assignment.
  - 2. Failure of a course.
  - 3. Termination from a program of study.

When Academic Misconduct violations are suspected, the faculty member involved should discuss these suspicions with the student, and then the faculty member should make a decision regarding Academic Actions. *Academic Actions* are not subject to the appellate process outlined in this document, but are instead governed by the applicable process for undergraduate grade appeals or graduate student appeal for academic grievances. <a href="https://www.du.edu/registrar/regfaq.html">https://www.du.edu/registrar/regfaq.html</a>

If a faculty member finds a student responsible for Academic Misconduct, the faculty member should report their findings, and the Academic Action taken (if any), to Student Rights & Responsibilities by completing an Academic Misconduct Incident Report found on the Student Rights & Responsibilities website. Student Rights & Responsibilities staff will then determine what Student Conduct action may be appropriate, taking into consideration the nature of the alleged violation, the student's previous Student Conduct record relating to Academic Misconduct violations, and the recommendations of the faculty member or academic unit.

F. Restorative Justice Conference. A Restorative Justice Conference (RJC) is empowered to conduct case resolution for those Cases involving student Respondent(s). An RJC is an alternative dispute resolution process and will only be considered when the student Respondent(s) have taken responsibility for violating the applicable Student Conduct Policies and have an interest in repairing the harm done by their actions. Members of the greater University Community will serve on an RJC, including faculty, staff, students, alumni, neighbors and impacted parties. , RJCs use a collaborative process to create an "Outcomes Agreement" and can impose a variety of Outcomes focused on reconciliation, resolution, and/or the betterment of the overall community. If the members of an RJC successfully creates an Outcomes Agreement, the Respondent(s) cannot appeal the Outcomes. If the members of an RJC cannot come to an agreement about Outcomes, the RJC is considered unsuccessful, and the Case will be sent back to the student conduct process for traditional case resolution.

As noted previously in this document, the typical rights and responsibilities provided to students in the traditional Student Conduct Process may not be applicable in an RJC. Additionally, *Restorative Justice* Conferences are not used in cases involving alleged violations of Equal Opportunity and Title IX policies.

#### VI. Outcomes

A. *Rationale. Outcomes* are intended to be educational rather than punitive wherever possible. *Outcomes* are based on each student's individual circumstances and balance the needs of the *Respondent* as well as the needs of the *University Community*.

Outcomes for violations of Student Conduct Policies will be determined utilizing six (6) main criteria:

- 1. The circumstances and severity of the violation including behavior and conduct that targets a person's perceived or actual identity including race, color, national origin, age, religion, disability, sex, sexuality, gender identity, gender expression, marital status, or veteran status will have an impact on the student's outcome.
- 2. A student's previous Student Conduct record
- 3. The intent of the student
- 4. The impact of the situation
- 5. The level of demonstrated understanding
- 6. The influence of alcohol or other drugs

*Outcomes* are assigned for the entirety of an incident, not for each violation. All *Outcomes* will include a "Status" *Outcome* and at least one appropriate "Educational" *Outcome*.

- B. Status Outcomes are those that define the student's standing at the University. These include the following:
  - 1. Warning. A warning is given to notify a student that their behavior and conduct has been inconsistent with the expectations of the *University*. A warning has no immediate effect upon a student's status at the *University*. However, once given a warning, students should expect different *Outcomes* to result from any subsequent violations of the Student Conduct Policies.
  - 2. Student Conduct Probation. Probation serves to notify a student that they must avoid any further violations for a specified period of time in order to remain a student at the *University*. Students on probation are not in good standing with the *University*; as a result, a student may be prohibited from participating in certain co-curricular activities while on probation. Any further violations of the Student Conduct Policies while on probation may result in a student's suspension or dismissal from the *University*.
  - 3. Deferred Suspension. Deferred Suspension serves to notify a student that they must avoid any further violations of the Student Conduct Policies for a specific period of time in order to remain a student at the *University* because their behavior and conduct has not met the standards expected by students. Unlike Student Conduct Probation, when a student on Deferred Suspension is alleged to be involved with any subsequent violations of the Student Conduct Policies, they will be issued an Interim Suspension until the *University* can determine their responsibility in the subsequent incident. Students on Deferred Suspension are not in good standing with the *University*; as a result, a student may be prohibited from participating in certain co-curricular activities. If the student is found responsible for any further violations of the Student Conduct Policies while on Deferred Suspension, the student will likely be dismissed from the *University*.
  - 4. Suspension. A student who has been suspended from the University may not participate in any University activities, academic or otherwise, for a specific period of time, and will be restricted from all University Premises and activities. A suspended student who wishes to re-enroll must apply for readmission to the University and must also apply to the Director of Student Rights & Responsibilities. The Director of Student Rights & Responsibilities will determine whether any and all requirements for readmission have been satisfactorily completed. The University does not accept courses that the student may have completed at another institution while suspended.

- 5. *Dismissal*. A student who has been dismissed from the *University* is permanently prohibited from participating in any *University* activities, academic or otherwise, and will be permanently restricted from all *University Premises* and activities.
- C. *Educational Outcomes* are assigned in order to facilitate the learning process. These *Outcomes* are intended to encourage a student to reflect on the impact of the decisions they have made and help students develop the skills necessary to be successful at the *University*. Types of *Educational Outcomes* include, but are not limited to:
  - 1. Written Assignments. A student is required to write a reflection paper, maintain a journal, write a review of a policy, etc.
  - 2. *Worksheets*. A student is required to answer a series of questions designed to help them evaluate the decisions that led to the violation and avoid making similar decisions in the future.
  - 3. *Programs & Activities*. A student is required to complete community service hours, attend a program, design a poster board, etc.
  - 4. *Interventions*. The student is required to undergo a counseling assessment, complete a drug and/or alcohol evaluation, or attend a workshop, etc.
  - 5. *Restrictions*. The student is restricted from contacting one or more individuals, hosting guests on campus, using the *University* computer network, etc.
  - 6. *Referrals*. The student is referred to another process, such as mediation or counseling, to resolve some of the issues resulting from the violation.

Failure to complete any *Educational Outcomes* by the specified deadline will result in a hold being placed on a student's registration account with the *University*, and may result in further disciplinary action.

#### VII. Interim Actions

A. Rationale. All students have the right to continue their education free from the threat of harassment, abuse, retribution, or violence. The *University* may take whatever measures it deems necessary in order to protect the safety, security, or integrity of a *Complainant*, the *University*, or any member of the *University Community*. Such measures include, but are not limited to, involuntary removal from a course, program, activity, or *University Premises* pending case resolution, modifications to living arrangements, and reporting incidents to law enforcement or other non-*University* agencies. The Vice Chancellor for Campus Life & Inclusive Excellence or their designee, in consultation with the appropriate faculty and/or administrators, is empowered to impose any interim action.

The *University* also recognizes its obligation to students who have been accused of misconduct but have not yet gone through the student conduct process. Therefore, interim action should not unduly interfere with a *Respondent's* academic progress except as deemed necessary to protect the *University*, any member of the *University Community*, or the *University's* mission.

The *University* may impose interim actions while it undertakes any review or until a final decision is made through a student conduct process. Any interim action upheld through a formal case resolution process will become a formal *Outcome*, which is appealable through the Appeals Process. Should no formal case resolution process occur following the issuance of an interim action, the *University* will make a determination on the continuance of the interim action and notify the parties involved. No Contact Orders and Location Restriction Orders expire upon graduation of the student upon which the order is issued, unless otherwise stated in formal correspondence to parties involved.

For more information regarding additional interim measures, please see the Office of Equal Opportunity &

Title IX Procedures at https://www.du.edu/equalopportunity/procedures/index.html .

#### B. Interim Suspension.

The Vice Chancellor for Campus Life & Inclusive Excellence or their designee may suspend a student for an interim period prior to case resolution. An interim suspension will be effective immediately, without prior notice, whenever the Vice Chancellor for Campus Life & Inclusive Excellence or their designee determines that the continued presence of the student on the *University Premises* poses a substantial threat to any member of the *University Community* or the stability and continuance of normal *University* functions. During an interim suspension, the student may be denied access to *University Premises* and all *University* activities or privileges for which the student might otherwise be eligible, as the Vice Chancellor for Campus Life & Inclusive Excellence or their designee may determine to be appropriate. Whenever an interim suspension is imposed, case resolution will be completed as promptly as possible. The interim suspension may remain in effect until a final decision has been reached, including any appropriate appellate process.

#### C. Removal for Disruptive Classroom Behavior.

#### In case of emergency, please call 911, then 303.871.3000.

Individual instructors have the right to determine whether specific student classroom behavior and conduct is disruptive. In extenuating circumstances, instructors may require a student to leave an individual class meeting for disruptive behavior and conduct; however, instructors are not authorized to remove a student from the course without following the procedure set forth in this document. Should such removal be deemed necessary, instructors must follow the procedure outlined below as soon as possible.

### To begin the process, all faculty members must file a Pioneers Care Report: https://publicdocs.maxient.com/reportingform.php?UnivofDenver&layout\_id=99

The Department of Campus Safety will evaluate all concerns regarding community safety. All other concerns will be directed through the Disruptive Classroom Behavior procedure. Upon receiving the Pioneers Care report, a representative from both Campus Life & Inclusive Excellence and the Office of the Provost will determine whether to proceed through the Student Conduct Process, through the Disruptive Classroom Behavior procedure, or jointly through both. If appropriate, the Office of Equal Opportunity & Title IX may be involved.

Additionally, student members of a class may approach the instructor to discuss behavior and conduct of other classmates that they consider disruptive. The instructor may file a Pioneers Care Report on the behalf of the student or the student may file a report independently.

#### **Disruptive Classroom Behavior**

If the student's presence in the class represents a *significant impediment to the educational process*, that student may be officially withdrawn from the class. The withdrawal procedure will be followed if attempts at informal resolution between the instructor and the student have failed or are not possible.

1. The instructor, student, and the appropriate dean or dean's designee will meet to attempt to resolve the concern. This meeting should be scheduled as soon as possible after the faculty member files the report and ideally before the next class session. Another faculty or staff member (including Student Rights & Responsibilities, dean's office staff, or Campus Safety staff) may be asked to attend this meeting. The student may bring a support person to this meeting. The student must notify the Office of Student Rights &Responsibilities of identity of the support person prior to them attending the meeting. The support person

may not speak on behalf of or actively participate in this meeting. This meeting will not be rescheduled to accommodate a support person. This meeting should take place within two (2) business days of the report. Unless there are specific circumstances warranted by a concern for safety, this step is preferred. The instructor need not lead the meeting but should be present at this initial meeting with the student.

- 2. If the student fails to attend the meeting, the instructor may proceed with a request to the relevant dean's office for an involuntary removal of the student from the class.
- 3. As soon as possible, and no later than five (5) business days from the initial meeting, the dean or dean's designee will determine whether or not to move forward with removing the student from the class. The student is not permitted to return to class during this review. The dean or dean's designee shall communicate the decision to the student. The instructor shall provide the student with materials, assignments, and other course information the instructor deems essential to assist the student with remaining current in the course.
- 4. If the dean or dean's designee determines that the request to remove the student from the class is warranted, the student will be provided the opportunity to drop the class voluntarily. If the student does not drop the class voluntarily, the student will be dropped from the class by the Office of the Registrar.
- 5. If it is determined that removal is not warranted, the student shall be allowed to return to the class immediately. The student may be required to sign a Behavioral Contract, which will be kept on file with Student Rights &Responsibilities. This contract will outline the appropriate and inappropriate conduct and expectations for conduct for the remainder of the class as well as the consequences for violating the contract.
- 6. The student may appeal the decision to the Office of the Provost and Executive Vice Chancellor. This appeal must be submitted in writing no later than five (5) business days from the date of the dean's (or designee's) decision. Appeals will only be considered by the Provost or Provost's designee in the following circumstances:
  - a. The existence of procedural errors so substantial that they greatly impacted the findings, responsibility determination, and/or the ultimate outcomes;
  - b. Presentation of new and significant evidence which was not reasonably available at the time of the initial meeting and would likely alter the findings, responsibility determination, and/or the ultimate outcomes; and/or
  - c. The outcomes imposed are substantially disproportionate to the severity of the violation.

Disagreement with the decision is not grounds for appeal.

During the appeal process, the student is not permitted to attend class sessions or activities. The instructor shall provide the student with materials, assignments, and other course information the instructor deems essential to assist the student with remaining current in the course.

The appeal will be considered, and a decision rendered to the student, no later than five (5) business days from the date of receipt of the appeal by the Provost or Provost's designee. This decision is final.

#### VIII. Student Conduct Records

A. *Maintaining Records*. The Office of Student Rights & Responsibilities will be responsible for maintaining all official *University* records related to Student Conduct. A Student Conduct record will include copies of all *Cases* in which a student is found responsible for violating at least one *Student Conduct Policy*, as well as copies of all correspondence and other documentation related to the *Case*.

The policies regarding the retention of Student Conduct records are as follows:

- 1. The *University* will maintain Student Conduct files for a period of no less than seven (7) years following the most recent finding of violation, as determined by the rules governing reporting under the Clery Act.
- 2. The *University* will maintain Student Conduct files of students who have been dismissed from the *University* indefinitely.
- 3. The *University* will retain, as necessary, appropriate statistical information related to Student Conduct Policy violations in order to comply with legislative reporting requirements.

B. *Release of Records:* Numerous members of the *University* faculty and staff receive requests from students or former students to complete forms that include a request for information about Student Conduct records at the *University*. These forms typically serve the following purposes: Undergraduate transfer to another institution; Graduate/professional/law school admission; Admission to the Bar (by state); Security clearances for employment (typically federal), etc. Access and release of records of Student Conduct proceedings are governed by applicable FERPA and other privacy laws. Unless required by law, Student Conduct records will not be released without the expressed permission from the student.

When a student requests and authorizes the release of information through the online "<u>Institutional Action Letter Request Form</u>", the *University* will provide a brief statement with an overview of the student's conduct history. The information released will include the findings, rationale, outcomes, and will indicate whether or not a student is in good conduct standing. Specific documents, including pictures and videos, are not released to any entity unless required by law. Per *University* policy, students may review their Student Conduct Record in person by making an appointment with the Office of the Registrar or, for a summary, they can complete the online request noted above.

Records for alternative resolution processes, such as Medical Amnesty, are considered internal records for *University* purposes and will not be released through the Release of Records process as outlined above.

C. Parental Notification Policy. The University considers Student Conduct records to be part of a student's educational record. Accordingly, the University complies with all applicable legislation, including but not limited to the Family Educational Rights and Privacy Act (FERPA). Further, the University's primary relationship is with its students, and not with their parents or guardians.

However, the *University* recognizes that parents or guardians maintain an interest in their students' behavior and conduct while at college, and that parents or guardians can play a positive role in preventing further misconduct. Therefore, the *University* may notify the parents or guardians of any student under the age of twenty-one (21) whose current enrollment ends due to suspension or dismissal. The *University* also reserves the right to notify the parents or guardians of any dependent student under the age of twenty-one (21) who has been found responsible for violating any drug or alcohol polices. The Director of Student Rights & Responsibilities has the discretion to defer notification.

This notification is intended as a means to encourage communication between students and their parents or guardians to provide the greatest level of support for the student. Regardless of whether notification has occurred, the *University*'s priority and obligation is to correspond and otherwise conduct business directly with students, and not through parents, guardians, or any other third party.

D. *Public Notification Policy*. The *University* recognizes the shared interest of the greater community in the resolution of Student Conduct *Cases*. Student Rights & Responsibilities will update their website on an annual basis with statistics on the student conduct process. These statistics may include the number of students found responsible for violating each of the Student Conduct Policies, as well as a summary of the outcomes assigned in the student conduct process.

#### IX. Student Organizations

A. Authority. The policies and procedures governing Student Organizations at the University are applicable to all Student Organizations. The Office of Student Rights & Responsibilities maintains the authority to investigate and adjudicate any violation of University Policies as they relate to Student Organizations, including, but not limited to violations of policies contained in the Honor Code, the Student Organization Handbook, or any other applicable University Policies. The Director of Student Rights & Responsibilities

can delegate responsibility for investigation or adjudication of alleged policy violations at their discretion.

- B. *Jurisdiction*. The *University* will address any allegation that a *Student Organization* may have violated *University Policies*, including the Honor Code and Student Conduct Policies and Procedures, the Student Organization Handbook, the University of Denver Alcohol Policy, or national organization policies, as applicable. The *University* may address both individual behavior and conduct through the Student Conduct Process, as well as organizational behavior and conduct as appropriate. The investigation of individuals does not absolve the *Student Organization* of responsibility, nor does the process to address organizational responsibility absolve individuals of their responsibility under the Honor Code.
- C. *Process*. Conduct action taken against *Student Organizations* is an extension of the *University*'s student conduct process. Student Rights & Responsibilities, in consultation with relevant campus partners including, but not limited to, Athletics, Student Engagement, Campus Life & Inclusive Excellence staff, etc., shall take whatever action deemed necessary to respond to complaints involving *Student Organizations* and/or to prevent future violations. In instances where is the *University* determines that the safety and welfare of a student or students is immediately at risk, *Student Organizations* can face interim suspension until such time that an investigation and/or a *Case Resolution Meeting* can occur.

Conduct action taken against *Student Organizations* shall be separate from action taken against individual members. Individual students involved in the Student Conduct Process for a *Student Organization* may be subject to the individual student conduct process according to their involvement in a *Case*. All *Student Organization* Conduct records will be maintained with Student Rights & Responsibilities for a minimum of seven (7) years following the most recent finding of violation.

For more information regarding the policies and procedures related to Student Organizations, please see the Office of Student Rights & Responsibilities website: <a href="http://www.du.edu/studentlife/studentconduct/">http://www.du.edu/studentlife/studentconduct/</a>.